

RAOUL WALLENBERG INSTITUTE

OF HUMAN RIGHTS AND HUMANITARIAN LAW

Annual Report 2011

The Raoul Wallenberg Institute of Human Rights and Humanitarian Law is an independent academic institution, founded in 1984 at the Faculty of Law at Lund University in Sweden. In legal terms, the Institute is a charitable trust under Swedish private law, governed by a board of trustees. The Institute is named after the Swedish Diplomat Raoul Wallenberg, in order to pay homage to his well known humanitarian work in Hungary at the end of the Second World War.

The Institute has a wide mandate, thematically as well as geographically, to promote the respect for human rights and humanitarian law, by means of research, academic education and institutional development programmes. Hosting one of the largest human rights libraries in Northern Europe and engaged in various education, research and publication activities, the Institute provides a conducive environment for studies and research. The Institute combines academic programmes with extensive international human rights capacity development programmes, thus providing a unique platform where theory and practice meet and interact in order to further the development and application of international human rights law.

The Institute cooperates with a variety of Swedish and international partners and belongs to different networks in Sweden and abroad. The activities of the Institute do not involve official reporting on State performance, monitoring of State compliance or other methods of work which could be considered confrontational in character or nature.

MISSION:

To promote universal respect for human rights and humanitarian law, by means of research, academic education, dissemination and institutional development.

VISION:

To be a centre of excellence in all fields of operation, contributing to the development of societies based on a human rights culture.

CORE VALUES:

RESPECT We believe that respect for the inherent dignity of the human being is fundamental and this permeates all our behaviour.

INTEGRITY We are committed to our mission and values and we are not going to compromise in respect of independence, trust and quality. Our work is transparent and performed with accountability.

INCLUSIVENESS We are a learning institution and encourage everybody to participate by expressing their views and sharing their knowledge. We value all input and viewpoints and are open to constructive dialogue and co-operation with all in order to fulfil our mission.

INSPIRATION We continuously strive at maintaining a creative, dynamic and supportive work- and learning environment, so as to inspire others as well as each other to work in line with our mission – to promote universal respect for human rights and humanitarian law.

3	Welcome
6	Research
10	Library and publications
14	Education in Sweden
16	International Programmes
24	Meetings, seminars and lectures
28	Scholarships
29	Administration report (with annual accounts), incl. Organisational Developments
40	Auditor's report
41	Board of trustees
42	About Raoul Wallenberg
44	Contacts

WELCOME TO THE RAOUL WALLENBERG INSTITUTE IN LUND

Every day as I commence my workday, whether it is on the train to Lund, on an airplane, or in the beautiful building in the centre of Lund where the Institute's head office is situated, I do so with a strong sense of warmth and satisfaction. I regard it a privilege to work with ensuring that human rights are respected and complied with in Sweden and abroad. To strive towards the self-evident principle that all human beings are born free, of equal value and equally entitled to human rights is what we do every day at the Raoul Wallenberg Institute in Lund.

We are confronted by and surrounded with instances of abuse of human rights both in our own country and in the rest of the world on a daily basis. Our institute can make a significant difference by conducting research, publishing relevant material, and by continuously providing high level education in human rights wherever it really matters.

During 2011 I travelled extensively in Sweden and outside to promote and spread knowledge about the work we do at the Institute. Part of this travel involved visiting our field-offices and getting to know colleagues and the work they are doing. I have continuously been impressed by both.

My first visit in 2011 was to our field-office in Nairobi, Kenya. Here I had a very brief face-to-face meeting that made me once again realise how immensely important our work at the Institute is. Together with some colleagues and partners in Nairobi I visited a prison where 3000 male inmates arrested for various types of crimes lived. During the visit I approached the fence that separated me from about 1000 prisoners put in custody for crimes that will possibly render the death penalty. A spokesman for the group came up to me on the other side of the fence. We stood very close to each other, he looked into my eyes and said "Thank you for being here". I explained to him that we were not a supervisory organisation, but an institute for education. He listened and replied: "The most important thing is that you see us". And I do. This brief meeting and the fence reminded me strongly of my previous work with war crimes and the emaciated men behind the fences in the concentration camps in Omarska and Keraterm, Bosnia.



Marie Tuma, Director

I carry this brief meeting and the memory of his eyes with me at all times.

This trip marked the beginning of the new and extended regional programme in Sub-Saharan Africa which SIDA later granted in November of 2011. A few months later SIDA also approved a new programme in Kenya in which working with prisons is the largest component. We were very pleased and happy to receive the renewed trust to pursue these issues.

During a separate trip to Amman I realised the need to have a local office. The purpose of the visit was to meet judges from countries in the region and it was clear that these judges needed to meet on a regular basis. By establishing a local Raoul Wallenberg office in Amman we enhanced our presence in the region and can today provide such a meeting-point. ►

Six months later I listened to a beautiful melodic song sung by a woman on death-row in a women's prison in Jakarta. She sung with such warmth and joy that it was difficult not to be deeply touched. This is one of the prisons we work with and due to our work and to the cooperation with a local partner, the women's conditions have been significantly improved. The Raoul Wallenberg Institute has also been given a consultative role in the on-going dialogue between the Swedish and the Indonesian governments concerning respect for human rights, which is why I this year also participated in a meeting in Stockholm between the Swedish ambassador for Human Rights, Hans Dahlgren, and representatives for the Indonesian government. And the links to Indonesia go on all levels. On another occasion I met an Indonesian student studying for at the Institute. He had settled in Lund for two years to pursue the Masters-programme for Human Rights and Humanitarian Law, which we are responsible for together with the Faculty of Law at Lund University, Juridicum. He told me what a privilege it is for him to be able to study here and that he one day will take his knowledge and experiences with him back to Indonesia. Being able to supporting the building of societies by providing education with a focus on human rights is how we can make a difference.

Another important event during the year was the creation of our new logo and graphical profile, which can be seen in this report. Creating a new logo that we can all stand behind with complete confidence was a long process. Therefore I am very pleased with the result, and can see that the new graphical profile has many potential applications and possible variations. I clearly remember the day when the new roll-ups were put up in the so called Beijing conference-room and as the staff entered smiling and cheering. Their joy and enthusiasm could not to be mistaken. It really felt as if this truly represents us.

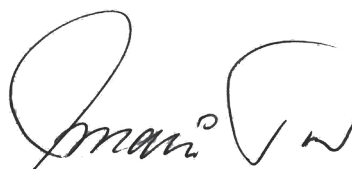
Two more of events stick to my mind when I look back at 2011. The visit by Jesse Jackson, and his well visited lecture in Lund, plus the seminar a few months later on "Business and Human Rights" with attending experts from among other countries, Australia, USA and Great Britain. Within six months this resulted in the publication of a book that was one of the first of its kind; The UN Guiding Principles On Business and Human Rights – Theory and Prac-

tice, a publication that is already being used by students at leading universities.


During the end of the year, the upcoming centenary of Raoul Wallenberg's birth in April 2012 was planned. The Raoul Wallenberg Institute also became a member in the National Committee for Raoul Wallenberg.

All in all 2011 was a year where much was achieved. For me personally it was filled with travel, meetings in and outside of the organisation and with the opportunity to meet many interesting people. It was a year where I was once again convinced of the Institute's ability to make a difference.

Best Regards,
Marie







Cutting Edge Research Capacity

Academic and applied research is central to the Raoul Wallenberg Institute's work. The cutting edge research capacity in human rights draws from the Institute being a meeting point for theory and practice, for academic and practical expertise. The current research team is dynamic and resourceful and the individual specialisations cover a wide range of human rights and international humanitarian law topics.

Many of the Institute's research projects are contributing to both the development of human rights research and to the practical implementation of rights. The active involvement in training, teaching, publications and thematic colloquia, enriches both the theoretical and practical sides of the Institute's research capacity. Many of the Institute's resident researchers, visiting professors and guest researchers have distinguished records of service working for or in the human rights components of a series of intergovernmental organisations, governmental bodies or non-governmental organisations. A number of guest researchers with close links to the Institute undertake research on current human rights and public international law themes at the Institute, drawing on its rich library resources and academic and practical expertise.

The Institute closely co-operates with Lund University and has extensive relationships with several other academic institutions and international organisations, non-governmental organisations and government institutions worldwide. We also participate in various networks of Nordic, European and international institutions, within the framework of our mandate. Mention should also be made of the Institute's Visiting Professor at Beida University, Beijing, China, Dr. Per Sevastik.

In addition to research, the Academic Department has as a main task to participate in the Institute's extensive and sustained training and other technical co-operation programmes around the world (more information is provided under international programmes). These assignments keeps the Institute's researchers in continuous contact with foreign academics, researchers and policy makers.

Consequently, this keeps the Institute abreast of current developments in human rights at all levels.

Research activities in 2011

A number of research projects have been ongoing at the Department in 2011. Several of the researchers have in the last few years been very successful in applying for external funding for research, thus indicating the strength and vitality of the research environment at the Institute. The Department has in 2011 also welcomed a number of guest researchers; both doctoral candidates and post-doc researchers, as well as visiting professors such as the Fulbright Scholar, Professor Margaret Ferrell, USA.

In 2011, the Institute also appointed its first National Human Rights Institutions' (NHRI) Fellow, Megan Brodie, under the auspices of an inter-departmental project, facilitated between the Academic Department and the NHRI Unit in the Department of International Programmes. The overarching aim of the fellowship is to expand the understanding of the role NHRIs play in promoting and protecting human rights.

RESEARCH IN FOCUS

The Un Guiding Principles On Business And Human Rights – Theory and Practice

- This has been one of the easiest and most pleasant jobs one could wish for as editor, says Radu Mares, editor of the book. The authors worked with tight deadlines while striving for high quality contributions. This allowed the book to be the first of its kind published worldwide, and is today reading material at Harvard University where Professor Ruggie teaches.



Radu Mares, Senior Researcher

The issue of corporate responsibilities has had a tumultuous history at the United Nations. When the Human Rights Council unanimously endorsed Professor John Ruggie's Guiding Principles in June 2011, it was the first time that the UN outlined its expectations in the area of business and human rights. Immediately a formidable coalition made up of states and international organisations, businesses, and civil society groups aligned behind it. A de facto standard of corporate responsibility has

emerged. This volume captures this special moment in time: a moment of taking stock of a successfully concluded UN Special Representative mandate (2005–2011) and of preparing for the massive task of following up with more operational guidance, effective governance mechanisms and sound theoretical treatments.

Prof. Ruggie stated in 2010: "My job is to provide a systematic understanding of the nature of the problem, on a universal basis within some sort of a universal conceptual framework, and then make recommendations to the authorities and to the business community." For this reason the present project deemed it crucial to have an informed, dispassionate, conceptual discussion on the Guiding Principles, with both their merits and weaknesses. Contributors to the book were asked to comment on three key aspects related to the Guiding Principles: the value added to the business and human rights field; what are some blind spots, weaknesses, or gaps in the Principles; and how to address such weaknesses and/or further stimulate the application of the Principles in practice.

The 12 chapters in this collection offer the first in-depth analysis of the Guiding Principles with a special emphasis on regulatory and governance issues surrounding corporate responsibility. How does international human rights law handle corporations? Are we beginning to grasp the complexities and impacts of financial markets on human rights? What kind of corporate due diligence can make supply chains more socially sustainable? Why should parent companies act when their affiliates infringe rights? What is the potential of national human rights institutions in the area of business and human rights? What is the role of states and law in the social change process promoted by the corporate responsibility movement? How do we 'orchestrate' polycentric governance regimes to ensure respect for human rights? Answers to these questions help to assess and take further Prof. Ruggie's expectation that the Guiding Principles establish "a common global platform for action, on which cumulative progress can be built, step-by-step, without foreclosing any other promising longer-term developments."

HIGHLIGHTS - ACADEMIC RESEARCH 2011

- **The UN Guiding Principles on Business and Human Rights – Foundation and Implementation;** the project convened international experts offering in this book the first in-depth analysis of the Guiding Principles with a special emphasis on regulatory and governance issues surrounding corporate responsibility in the area of human rights.
- **Cultural diversity and indigenous peoples' land claims.** Argumentative dynamics and jurisprudential approach in the Americas. A three years doctoral studies project partially funded by the Swedish Institute (SI) and administratively based at the Comparative and Legal Studies Doctoral School, University of Trento, with a twofold focus. The first foci is on the assessment of the argumentative logic behind the multiculturalist proposal for equally divided societies, among equally positioned ethno-cultural groups. The other foci is on the specific case of indigenous peoples from both a theoretical and jurisprudential point of view, taking into account the latest developments within the Inter-American Human Rights System.
- **The Human Rights State in Theory and Practice;** a two year research project examining what requirements are fundamental in order for a state to comply with its international human rights obligations. Funding for the project is made available by the Swedish Justa Gardi Foundation.
- **Detection Technologies, Terrorism, Ethics, and Human Rights;** a three-year Collaborative Research Project under the European Union Framework 7 Security Programme, identifying human rights and other legal and moral standards that detection technologies in counter-terrorism must meet.
- **Overlapping protection or conflicting rules? A study of the concept of subsidiary protection in the context of Swedish, EU law and international law;** a three-year research project in migration law financed by the Swedish Research Council 2010–2012.
- **Requirements for protection? Alternative grounds for residence permits in the Swedish Aliens Act;** A project funded by European Refugee Fund and pursued in co-operation with the Swedish Red Cross.
- **Deficiencies in the legal protection against sexual and gender-based violence under and after armed conflict, including a case-study on Liberia;** a three year post-doc research programme funded by the Swedish Research Council.

Other research activities undertaken at the Institute by staff and visiting scholars include: Corporate Social Responsibility, European Human Rights Culture, Diversity and Indigenous People within the Inter-American Human Rights System, A Comparative Study of EU and International Environmental Law in Light of Human Rights, The Role of Judicial Remedies in the Protection of Internationally Recognised Human Rights and A Human Rights Based Approach to Intellectual Property – From Theory to Practice.

Open Library and Top Quality Publishing

LIBRARY

Since its foundation in 1984, the Raoul Wallenberg Institute of Human Rights and Humanitarian Law has systematically built up a research library in Lund, focusing on public international law in general and human rights law and humanitarian law in particular. The collection, which consists of approximately 30,000 titles, including a large number of periodicals, forms not only the leading human rights library in Sweden, but is also generally considered to be among the top five human rights libraries in Europe.

The Institute has depository library agreements with the Organisation for Security and Co-operation in Europe and with the International Labour Organization. The Institute also maintains close cooperation concerning publications and documentation with a number of United Nations bodies and specialised agencies.

Inter-library loans are offered to other libraries in Sweden and abroad and the service is much appreciated since many books are unique and cannot be found elsewhere.

In addition to the extensive literature collection, the library has, in order to provide access to electronic journals and other web based material, been equipped with computers with internet connections. Furthermore, 18 workstations have been added to the library during the year,



with wireless access to internet from private lap-tops, in order to provide a conducive study environment.

The library is also a meeting point between master students from the International Human Rights Law Programme at the Faculty of Law and students from various human rights courses. Many activities arranged by the students and their NGO, Jus Humanis, have taken place at the library and its meeting room.

The importance of the library in the context of international development cooperation may be elucidated by the following information:

- Guest researchers from China, Kenya and Turkey have been using the library for their research because of the high quality of the collection and accessibility to electronic media, thanks to the network among libraries at Lund University.

- The library has in collaboration with the Department for International Programmes conducted trainings for librarians and IT-specialists in various topics related to modern libraries: concept of open access and open source, mastering web searching, providing ICT tools such as website, blogs, wikis, enabling multi-faceted communication and information dissemination as well as traditional skills needed for librarians such as cataloguing and classification using international standards.

Some examples of workshops conducted during the year are those that have taken place at the University of St. Louis, Senegal, Kenya Correction Service Colleges and Headquarter, Nairobi and Centre for Human Rights and Peace, University of Nairobi, Kenya.

Being a librarian in the human rights arena: competencies of librarians for the 21st century was the theme for a one week training for librarians from the Middle East and North Africa. Funding for the library is made available from the Swedish Ministry of Foreign Affairs and Torsten and Ragnar Söderberg Foundations.

FACTS ABOUT THE LIBRARY 2011

- Purchased 1,700 books
- Subscribes to 150 periodicals
- Opening hours 52 hours/week
- 37,000 visitors/year

PUBLICATIONS

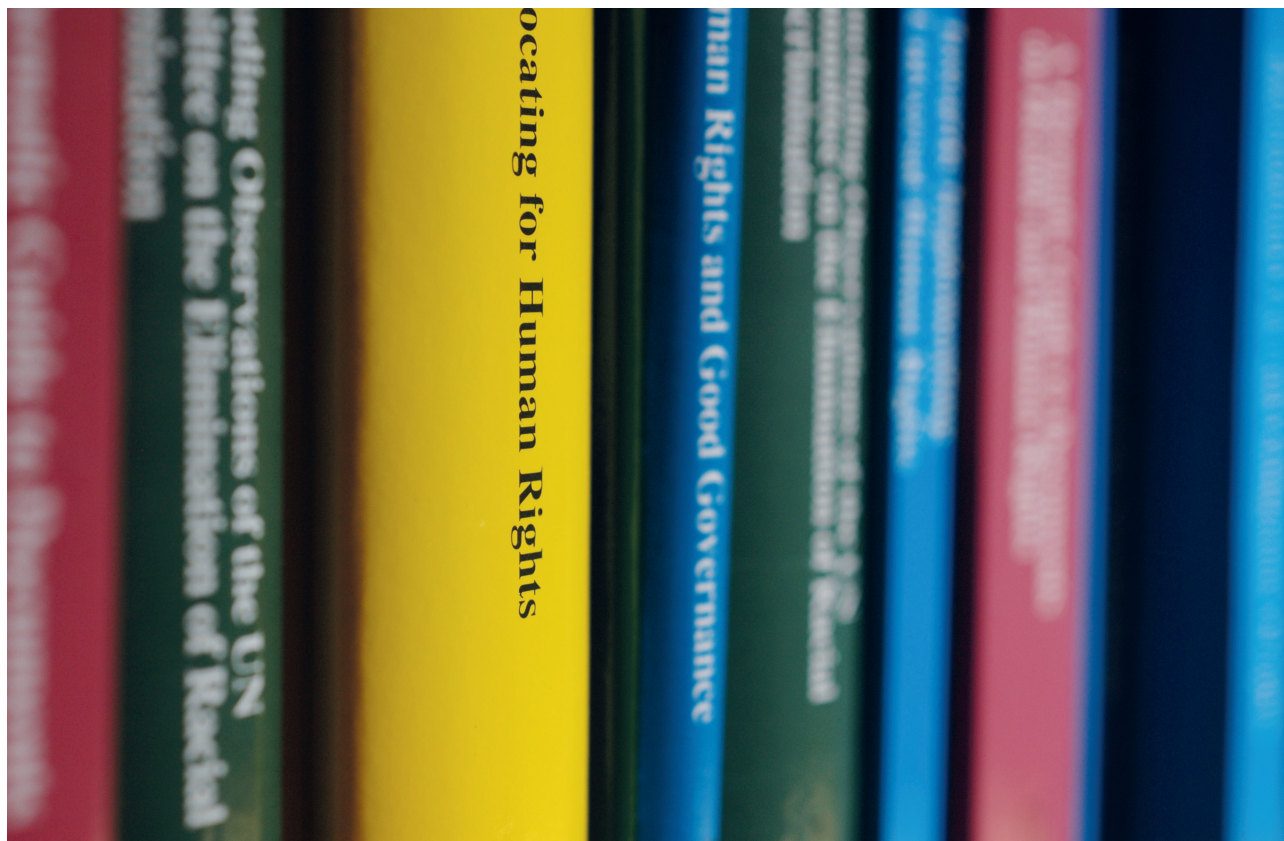
In co-operation with Martinus Nijhoff Publishers, an imprint of Brill Academic Publishers, the Raoul Wallenberg Institute maintains an extensive publications programme containing five book series and three journals.

The Raoul Wallenberg Human Rights Institute Library (Blue Series) contains monographs, collections of essays and selected documents on important issues within human rights; The Raoul Wallenberg Institute Human Rights guides (Red Series) provide systematic presentations of instruments and documents on specific human rights themes; The Raoul Wallenberg Institute Professional Guides to Human Rights (Orange Series) are designed to provide professionals with tools and resources for reference, research, education and training in human rights, including teaching manuals on human rights; The Raoul Wallenberg Institute Series on Intergovernmental Human Rights Documentation (Green Series) includes volumes containing the concluding observations of the United Nations human rights treaty bodies and relevant documentation of intergovernmental organisations; The Raoul Wallenberg Institute New Authors Series (Yellow Series). With regular intervals, the Institute publishes a

selection of the latest master theses of students in Lund. This co-operation with Martinus Nijhoff further includes the publication of three journals in the area of international law, the Baltic Yearbook of International Law, the International Journal on Minority and Group Rights and the Nordic Journal of International Law. A full account of publications is available on the Institute's website.

BRILL BOOKS IN 2011

- Dan Kuwali, The Responsibility to Protect (Raoul Wallenberg Institute Human Rights Library, vol. 37)
- Maria Eriksson, Defining Rape: Emerging Obligations for States under International Law? (Raoul Wallenberg Institute Human Rights Library, vol. 38)
- Radu Mares (ed.), The UN Guiding Principles on Business and Human Rights (Raoul Wallenberg Institute Human Rights Library, vol. 39)





Selected articles and publications in 2011

AMNÉUS, DIANA, "Responsibility to Protect: Emerging Norm(s) on Humanitarian Intervention?", *Global Society*, Issue 26:2, 2012

AMNÉUS, DIANA, "Has humanitarian intervention become part of international law under the R2P doctrine?" Proceedings from the ESF-Li Conference: The Responsibility to Protect: From Principle to Practice, Linköping, 8-12 June 2010, Nollkaemper, André, Hoffman, Julia (Eds.), Amsterdam University Press, 2011 .

AMNÉUS, DIANA, "Insufficient legal protection and access to justice for post-conflict sexual violence", *Development Dialogue*, No. 55, March 2011.

NOWAK, KAROL, Research paper within DETECTER (Detection Technologies, Counter-Terrorism Ethics, and Human Rights); a three-year Collaborative Research Project under the European Union Framework 7 Security programme to co-ordinate and contribute work on detection technologies, counter-terrorism, ethics and human rights. <http://www.detecter.bham.ac.uk/documents.shtml>

FUENTES, ALEJANDRO, "Cultural Diversity and indigenous peoples' land claims. Argumentative dynamics and jurisprudential approach in the Americas", PhD thesis, University of Trento, 2012 (Forthcoming)

MARES, RADU (ed.), *The UN Guiding Principles on Business and Human Rights – Foundations and Implementation*, Martinus Nijhoff Publishers (Leiden, Boston, forthcoming 2012)

MARES, RADU, 'Business and Human Rights After Ruggie: Foundations, the Art of Simplification and the Imperative of Cumulative Progress', in R. Mares (ed.), *The UN Guiding Principles on Business and Human Rights* (forthcoming 2012) pp. 1-50

MARES, RADU, 'Responsibility to respect: Why the core company should act when affiliates infringe rights', in R. Mares (ed.), *The UN Guiding Principles on Business and Human Rights* (forthcoming 2012) pp. 169-192

MARES, RADU, 'Corporate responsibility and compliance with the law: land, dispossession and aftermath at Newmont's Ahafo project in Ghana', *Business and Society Review* 117.2 (forthcoming 2012)

MARES, RADU, 'From charity to institutional development: Reflections on Newmont's CSR strategies and conflict-avoidance in Ghana' in I. Boerefijn et al., *Human Rights: Pre-Conflict, In Conflict, and Post-Conflict*, Intersentia (Cambridge, forthcoming 2012) pp. 213-231

NOWAK, KAROL, To identify how surplus information can be vetted before it can lead to human right infringements. 2011. (research paper within DETECTER (Detection Technologies, Counter-Terrorism Ethics, and Human Rights))

NOWAK, KAROL, *Rätttegången som en mänsklig rättighet*. Lena Karlbrink (ed.), *Frihet och personlig säkerhet – de medborgerliga och politiska rättigheternas tillämpning i Sverige*. 2011.

Education in Sweden



The Raoul Wallenberg Institute contributes to the development and implementation of academic education as well as commissioned trainings for professional groups in Sweden.

The Faculty of Law at Lund University has for over 20 years, in close co-operation with the Institute, been implementing a Master's Programme in International Human Rights Law. The programme runs over two years providing the students with in-depth knowledge in international human rights law and humanitarian law. Within the framework of this programme, students who so wish, are provided with the opportunity to specialise in intellectual property rights law, international labour law or general in international human rights law. The programme is open for foreign students and since the beginning of the programme a large proportion of students from developing countries have graduated from the programme with scholarships provided by the Institute with funding from the Swedish International Development Cooperation Agency (Sida). Other universities and educational institutions where Institute staff teaches include the Universities of Stockholm, Uppsala and Gothenburg, the Linneaus University in Växjö, Halmstad University and the Swedish National Defence College.

As to training for professional groups in Sweden, the Institute offers request based commissioned training, primarily for criminal justice institutions. As an example, the Institute provides the human rights component at the Linneaus University's Institute of Police Education.

In 2011 the Institute also provided human rights training for peace keeping police at The Swedish Armed Forces International Centre (SWEDINT) and training for prosecutors and for the judiciary.

TRAINING SWEDISH POLICE

The Institute provides the human rights component at the Linneaus University's Institute of Police Education. The course highlights human rights areas of particular relevance to the police when working in Sweden and abroad such as arrest and detention, collection of evidence, interrogation, treatment of minorities, women and children and protection of democratic principles and the rule of law. The main course elements are lectures and moot courts in which the cadets are asked to shoulder the role of a person alleging ill-treatment by the police in a fictive state. The exercise broadens the perspective of the cadets, gives them an insight of the importance of human rights in their daily work and underlines the significance for police officers to have the trust of the populace in order to be able to work efficiently.

Making a Difference for the Individual

CO-OPERATION WITH ACADEMIC INSTITUTIONS
 CO-OPERATION WITH NATIONAL HUMAN RIGHTS INSTITUTIONS
 CO-OPERATION WITH INSTITUTIONS IN THE FIELD OF ADMINISTRATION OF JUSTICE
 STRATEGIC AND OTHER INITIATIVES



Individuals, institutions and organisations stand at the core of the international programmes of the Raoul Wallenberg Institute. By strengthening institutions, we create conditions for individuals to claim their rights and have their dignity protected.

Essential for the Institute's international programmes are long-term commitments to human rights, and the realisation that change is a process that takes time. It is our firm belief that strong capacity of the institutions we work with is central to how human rights are being protected, respected and fulfilled.

The international system for human rights provides the basis for all our activities. Education and training, curricula development, literature support, opportunities for networking and exchange, support to the establishment of resource centres, assessment of institutional human rights performance, and advice in management and organisational matters are core activities of our programmes.

2011 was an expansive year for the international programmes of the Institute. Cooperation programmes were established regionally in Sub-Saharan Africa and in Vietnam. Activities and partnerships expanded in Turkey and Tunisia, while important initiatives to develop programmes

were taken with regard to Cambodia, Kenya and Myanmar. In September, we were proud to open our fifth office abroad – this time in Amman, Jordan.

In Sub-Saharan Africa, the Raoul Wallenberg Institute during 2011 developed and initiated a new regional programme to address the role and ability of National Human Rights Institutions (NHRIs), academic institutions and judicial bodies to contribute to the regional agenda on peace and security and regional integration in Sub-Saharan Africa.

Also in Sub-Saharan Africa, a new bilateral programme was developed in Kenya to contribute to increased enjoyment of constitutional rights within the Kenya Prisons Service by using an integrated approach across the correctional system.

Seeking to further strengthen human rights education and research in Turkey, cooperation with Turkish academic institutions was solidified and a partnership with the Jus- ►

tice Academy was established. In Tunisia, the Institute contributed to efforts to encourage peaceful elections, in cooperation with Electoral Reform International Services and the European Commission.

In Vietnam, the Institute revitalised cooperation during 2011 to support the realisation of international human rights standards through deepened cooperation with Vietnamese universities and the possible establishment of a NHRI in Vietnam.

In relation to Myanmar and Cambodia, important steps were taken during 2011 to explore and approach potential new partners and look into future ways to work in a sustainable way with human rights capacity development.

At the same time, existing programmes and cooperation in China, Indonesia, Belarus and regionally in Asia as well as in the Middle East and North Africa developed further in 2011.

Cooperation with the Chinese justice sector and academia as well as support for the establishment of a NHRI in China continued to deliver good results, with universities in Western China and provincial prosecutorial branch colleges making important progress in human rights education.

In Indonesia, new elements were added to the cooperation with the Directorate General of Human Rights and the Directorate General of Corrections, to support the implementation of the Indonesian National Human Rights Action Plan and how to operate prisons in compliance with human rights standards and professional prison management principles.

In Belarus, partnerships were strengthened with academic institutions to develop human rights, humanitarian

law and gender equality capacity and resources. Similarly, regionally in Asia, exchange, capacity and networking were enhanced among the Institute's academic and NHRI partners, including through blended learning, and in the Middle East and North Africa region, the Arab Academic Human Rights Network further established itself in the Arab human rights discourse.

FACTS - INTERNATIONAL PROGRAMMES

- Approximately 85% of the Institute's operations concern international programmes.
- In 2011, around 30 Institute staff worked with international programmes at headquarters in Lund, Sweden and our offices in Amman, Beijing, Istanbul, Jakarta and Nairobi.
- The Institute primarily works with international programmes bilaterally and regionally in developing countries in Asia, Africa, Europe and the Middle East.
- The international programmes of the Institute focus on cooperation with: (i) Academic institutions, (ii) National Human Rights Institutions, and (iii) Institutions in the field of administration of justice.
- The Swedish International Development Cooperation Agency (Sida) remained the main source of funding for the Institute's international programmes in 2011.
- Interventions are designed closely together with partners to secure local ownership and strong partnerships.
- All programmes are driven by local demand and focussed on delivering results.
- Programme activities do not involve official reporting on state performance, monitoring of state compliance or other methods of work in the human rights field that could be considered confrontational in character.





HIGHLIGHTS - INTERNATIONAL PROGRAMMES 2011

The highlights on this page show select accomplishments among what was achieved through the international programme work and support during 2011.



Teaching of 12 human rights courses for in total 1,500 university students in western China

Raised awareness among key Chinese stakeholders on the role of a NHRI in society, its benefits and relationship with other institutions

Support to the Human Rights Commission of Malaysia's National Inquiry on Land Rights of Indigenous Peoples.

Improved conditions for human rights teaching at the Faculty of Law at Pannāsāstra University of Cambodia

Unique virtual learning environment created to advance human rights of women and gender equality in Southeast Asia

First ever Human Rights Newsletter produced and disseminated to correctional institutions throughout Indonesia

BLENDED LEARNING - REACH OUT, COME TOGETHER

Take a decade of human rights teaching, handpick the best course instructors around, use the virtual world and invite academics and practitioners from all over Southeast Asia. The blended learning method which was launched by the Institute in 2011 has turned out to be a success.

Online learning is a fast growing trend in education, and is particularly well suited for participants spread across a wide geographic area. The benefits are many: it is cost efficient, it provides flexible access to content and participants can work at their own pace with teachers that are readily available. But the Institute's first blended learning course is not only based around online learning – it is blended with face-to-face elements, as the name implies.

- We decided to try out this idea together with the Asia Pacific Forum of National Human Rights Institutions (APF), says Jennie Holck-Clausen, Programme Officer at the Raoul Wallenberg Institute, responsible for the courses. In close cooperation with the APF we held two blended learning courses in 2011.

But the flagship that was launched the same year, and will run for five years, is the institute's own initiative. A course on Equal Status and Human Rights of Women in Southeast Asia, building on the institute's long experience of this subject in the region.

This particular course targets NHRI staff and representatives from academic institutions. It includes learning about international and regional conventions, declarations, mechanisms and political initiatives. The focus is on discrimination, gender based violence and human trafficking. During the blended learning course the participants are asked to take a close look at the current situation of human rights for women in their own countries, as well as looking at the challenges at their home institutions.

Jennie explains how the courses typically are constructed in two blocks. First there is an online course that runs for four weeks, and then a break for three weeks, before the group meet for the first (and only) time for a week on location in Asia.

- When people finally meet, they have already exchanged ideas around literature and teaching, via the web, explains Jennie. They feel they almost know each other, and can start with discussions around matters that engage them all on the first day. It is usually a very intensive week! From a list of names you suddenly have a group that relates and functions as a collective.

So far the face-to-face week has mostly taken place in Bangkok, which is a hub in the region. People can easily fly in from Cambodia, Myanmar, Philippines, or wherever they



Jennie Holck-Clausen, Programme Officer

are based in Southeast Asia and the Institute's partner, the APF, has an office there.

Jennie is very enthusiastic about the results, and about how well the course has been received. She is also keen to emphasise that the online element and the face-to-face learning are, indeed, blended. The participants feel that it is one course and one thread throughout.

- It is not an exaggeration to say that people really enjoy the course. I wish we could use the method over a wide range of programmes and in other regions as well, and perhaps we will in the future. It is really an efficient way to



work with human rights, with a target group that we perhaps wouldn't reach otherwise. One of the secrets behind the course, except the blended learning method, might also be that the topics are designed especially for the participants.

- We have discussed the courses back and forth with partners we work with in Asia among National Human Rights Institutions and academic institutions, to find out what kind of support and training they need. The courses are made for them. So the participants that are nominated by their employers are highly motivated, and very keen to study and implement what they learn. One of many tangible results of the courses comes from the Maldives.

- After having attended our blended learning course on National Inquiries for NHRIs, the participants from the Mal-

dives NHRI decided to set up a training programme themselves, which in the next loop led to a National Inquiry on human rights being implemented in the Maldives.

During 2011 around 75 persons participated in the blended learning courses held by the Institute, and hopefully in coming years the concept will be extended to other regions as well.

- From what we understand the participants on the courses are staying connected on Facebook and similar social networks long after the course is finished, says Jennie. So another tangible result of the program is that there is serious regional networking going on within the area of human rights. We are looking into how to build on this experience in coming years.

Meetings, seminars and lectures

ANNA LINDH LECTURE

In 2005 the Raoul Wallenberg Institute decided to honour the memory of the late Swedish Minister for Foreign Affairs, Ms. Anna Lindh, by hosting an annual Anna Lindh Lecture to be given by a distinguished scholar, politician, diplomat or international top-level civil servant. The event is organised by the Institute and Lund University with support of the Anna Lindh Memorial Foundation at a solemn but plain ceremony in the University Hall.

The first Anna Lindh Lecture in 2005 was given by Ms. Gro Harlem Brundtland, former Norwegian Prime Minister and Director-General of the World Health Organisation followed by Lord Chris Patten, Chancellor of the University of Oxford and former European Commissioner for External Relations (2006), Ms. Rosalyn Higgins, judge and President of the International Court of Justice (2007), Mr. Kofi Annan, former Secretary-General of the United Nations (2008), Dr. Shirin Ebadi, the Nobel Peace Prize Laureate in 2003, (2009) and Dr. Hans Blix, former Director-General of the International Atomic Energy Agency (2010)

This year's lecture was given 16 November by Mrs. Hina Jilani, a prominent human rights defender from Pakistan under the heading "Protection of Human Rights in Situation of Crisis – A Defender's Perspective."

All lectures are published on www.rwi.lu.se

Anna
Lindh's
Minnes
fond



Hina Jilani gave the 7th Anna Lindh lecture in November 2011



Jesse Jackson visited the Institute on 13 October 2011 to give a public lecture titled "Civil Rights in the US today".

- **Seminar: Kenya - A Country in Transition, 17 March 2011, in Lund, Sweden**

This seminar was a joint initiative between the Raoul Wallenberg Institute and Malmö University in Sweden. Speakers included Dr. Mutuma Ruteere, Director of the Centre for Human rights and Policy Studies, Kenya; Ms. Fatima Brobeck Khan, LL.M. in Human Rights, Lund University; and Mr. Herbert Omondi, Kenyan Political Scientist and Human Rights Defender. The seminar focused on various aspects of developments in Kenya in the country's transitional phase since the 2007 general elections, in particular as regards human rights, justice and the rule of law. The seminar brought together students and scholars from primarily Lund University.

- **OSCE Supplementary Human Dimension Meeting on National Human Rights Institutions, Vienna, Austria, 14-15 April 2011**

The Supplementary Human Dimension Meeting on National Human Rights Institutions (ombuds institutions, commissions, institutes and other mechanisms) was organised by the 2011 Lithuanian Chairmanship of the OSCE and the OSCE Office for Democratic Institutions and Human Rights in order to provide an opportunity for dialogue and exchange of views between participating States, National Human Rights Institutions (NHRIs) and civil society actors on the existing good practices and challenges NHRIs face in exercising the mandate effectively and efficiently. The Raoul Wallenberg Institute – through its visiting professor Brian Burdekin AO – was invited to give the keynote speech at the meeting (the speech is available at www.osce.org/odihr/78322).

- **24th Annual Conference of the International Society for Reform of Criminal Law: Globalization of Crime – Criminal Justice Responses, 7-11 August, Ottawa, Canada**

In August 2011 the Institute attended the 24th Annual Conference of the International Society for Reform of Criminal Law: Globalization of Crime – Criminal Justice Responses. The conference brought together judges, legal practitioners, senior law enforcement personnel, policy makers, academics and NGO representatives from all over the world. The aim was to discuss the latest developments as well as challenges and best practices related to issues such as international criminal law, trafficking, violence against women, international cooperation in response to global crime, access to justice, privatisation of criminal justice and the role of victims in criminal proceedings. The Institute gave a presentation of Best Practices of Swedish Prosecution in Cases of Domestic Violence and moderated a session on Building Justice Institutions in Post-Conflict Settings.

- **The 11th Informal ASEM Seminar on Human Rights, Prague, 23-25 November 2011**

The 11th Informal Asia-Europe Meeting ('ASEM') Seminar on Human Rights which focused on 'Regional and National Human Rights Mechanisms' was held in Prague, The Czech Republic, from 23 to 25 November 2011. The Seminar was co-organised by the French Ministry of Foreign and European Affairs and the Raoul Wallenberg Institute (delegated by the Swedish Ministry of Foreign Affairs), the Philippine Department of Foreign Affairs, the Asia-Europe Foundation (ASEF) and hosted by the Ministry of Foreign Affairs, The Czech Republic. The main objective of the Seminar was to facilitate a dialogue on regional and national mechanism-building. Specifically, the Seminar aimed to develop recommendations to strengthen regional and national mechanisms in Asia and Europe. More than 120 civil society members and government representatives attended the seminar which made it the largest multi-sector gathering on human rights between the two regions.

From the Raoul Wallenberg Institute, Brian Burdekin AO also participated as one of the keynote speakers. The seminar examined how the international human rights architecture can be best strengthened at the regional and national levels. Present at the Seminar, were the ASEAN Intergovernmental Commission on Human Rights (AICHR) representatives of Indonesia, the Philippines, Singapore and Thailand, as well as representatives of national human rights institutions (NHRIs), human rights ambassadors, representatives

of justice and foreign affairs ministries, academics, activists and human rights defenders.

- **Roundtable on "The Work of Regional Organisations and Cooperation Mechanisms in Furthering Human Rights in Sub-Saharan Africa", 6 December 2011, in Lund, Sweden**

This roundtable discussion was organised at the Raoul Wallenberg Institute's premises in December 2011 and included presentations on their respective work and activities, within the framework of the captioned theme, by the Executive Director of the Secretariat of the Network of African National Human Rights Institutions, the Registrar of the East African Court of Justice, and a senior official from the East African Community Secretariat. The roundtable was attended by students and scholars from primarily Lund University.



- **Strengthening Human Rights Research and Education Networks in China, National Human Rights Education Annual Meeting (NHREAM)**

The fourth National Human Rights Education Annual Meeting was held in Kunming, capital city of Yunnan province, in October 2011. This gathering of over 80 participants from over 50 Chinese universities was held in partnership with Yunnan University, the Danish Institute for Human Rights (DIHR) and the Norwegian Centre for Human Rights (NCHR). Presentations made during the meeting included the latest human rights education developments in China, human rights education initiatives across Asia, and an introduction to the newly established national human rights education bases at three Chinese universities. In addition, Mr Hans Dahlgren, the Swedish Human Rights Ambassador, delivered a keynote speech on "Perspectives on human rights education and the latest global human rights trends".

SCHOLARSHIPS

The Raoul Wallenberg Institute National Human Rights Institutions Research Grant

As a part of the Institute's Interdepartmental Project on National Human Rights Institutions, Christiane Bossé, a student in the Master's Programme on International Human Rights Law, was awarded a research scholarship in the amount of SEK 20,000, to be used in furtherance of her master's studies research on "The Role of National Human Rights Institutions in Prevention and Response to Armed Conflicts – The Case of the Defensoría del Pueblo in Colombia".

Minor Field Studies (MFS)

The 2011 MFS Scholarship awards were given to the following students:

- Ms. Bella Frank. The MFS was granted in order for Ms. Frank to carry out a Minor Field Study on "Foreign aid in the Palestinian territories" as part of her Graduate Thesis in Human Rights Studies.
- Ms. Emelie Roos. The MFS was granted in order for Ms. Roos to carry out a Minor Field Study on "Refugee Protection in the International Community – A case study in Uganda" as part of her Graduate Thesis in Law.
- Ms. Frida Svärdby. "The reality of Tetra Pak's CSR efforts in Kenya" as part of her Master Thesis.
- Ms. Anika Andreevsk. "Refugee Protection in the International Community – A case study in Uganda" as part of her Graduate Thesis in Law.
- Ms. Emma Norrstad. "The Implications of Sexual Harassment on Female Education" as part of her Master Thesis.
- Ms. Johanna Djukanovic. "Implementation of the Children's Act – challenges in justice delivery and victims protection of trafficked children".
- Ms. Emily Diab. "Why international labour standards cannot protect the right to work of Palestinian refugees? – A special case of Lebanon".
- Mr. Martin Jörnruud "Internally displaced persons in South Sudan and international legal protection mechanisms".

Administration Report

ADMINISTRATION REPORT (with annual accounts)

MANAGEMENT

Board of Trustees

The Raoul Wallenberg Institute is managed by a Board of Trustees consisting of ten members. The vacancy after Leif Holmström is not filled.

The Board held four meetings including a two-day retreat during the fiscal year. The focus for discussion was on strategic and organisational issues.

The Board has its seat in Lund and had the following composition 2011:

Members

Ambassador Hans Corell, Chairman
 Professor Christina Moëll, Deputy Chairman
 Professor Emeritus Ove Bring
 Ambassador Marika Fahlén
 Former Deputy Prime Minister Lena Hjelm-Wallén
 Programme Associate Zophie Landahl (staff representative)
 Ambassador Börje Ljunggren
 Attorney at law Leif Ljungholm
 Economist Camilla Robinson Seippel
 Former National Police Commissioner
 Nils Erik Åhmansson
 Honorary Chairmen
 Former University Chancellor Carl-Gustaf Andrén
 Professor Emeritus Göran Melander

Director

The operations of the Institute's affairs are managed by a Director and a Deputy Director. Both are appointed by the Board. During the fiscal year Marie Tuma served as Director and Rolf Ring as Deputy Director.

Auditor

The annual accounts, the Board of Trustees and the Director's management are supervised by the authorised public accountant Thomas Swenson at Ernst & Young in Malmö. The auditor is appointed by the Vice-Chancellor of Lund University.

Secretariat

The Institute rents its own premises for the library, research and teaching and other activities. The Institute has also established field offices in Amman, Beijing, Istanbul, Jakarta and Nairobi. Apart from those directly involved at the Institute, teachers, researchers, students and administrative staff of the Faculty of Law at Lund University have access to the Institute's premises. The Institute also provides seminar- and study rooms as well as reading and computer areas for researchers, teachers, students and the public at large.

During the fiscal year Ernst & Young has reported on the Institute's tax-status

KEY FIGURES - STAFF	2011	2010
Average number of employees	43	40
Number of employees at year end	43	45
Average age	41	41
Salary costs incl. social security costs, TSEK	24 982	23 061
Salary costs per employee, TSEK	581	576

Information

Information about the Institute and its activities is available on the website www.rwi.lu.se.

Since year 2000, around 1,200,000 hits have been registered on the website. The annual number of visitors is around 100,000.

During the fiscal year a number of information pamphlets in Swedish and English have been produced both in house and in co-operation with external consultants.

The Institute is member of a number of networks for research and education in human rights, for example, "The Nordic Network on Human Rights Research", "The Nordic Human Rights Network", "The Association of Human Rights Institutes", "The European Coordination Committee on Human Rights Documentation ECCHR" and "The United Nations Crime Prevention and Criminal Justice Programme Network of Institutes".

Strategic Development

To follow up on a Board decision taken on 4 December 2006, the Institute in 2007 embarked on an extensive institutional reform programme. The mission statement, the vision, the value foundation and the Strategic Development Plan for 2009-2013, all form part of the new steering documents and, together with the Charter of the Institute, they form the core of the Institute's Strategic Platform.

In 2011, the Institute decided to pay special attention to internal processes related to:

- Funding
- Communication
- Internal Processes

Funding

The Institute continued its efforts to diversify funding. The Director established a team, assigned to identify new potential funding partners in addition to the existing ones.

The Institute has in 2011 identified areas that in 2012 should be prioritised for systematic fundraising. Attention will primarily be given to the library, research (inter alia funding for a visiting professor) and the establishment of a Knowledge and Resource Centre for National Human Rights Institutions within the Institute.

Communication

The Institute continued the programme it embarked on in 2011 to improve internal and external communication. The Institute adopted a Communications Plan for 2011-2012 and adopted a new graphic profile as well as launched a new website and an intranet. The Institute also released the first English version of its annual report and decided to employ a communications officer.

Internal Processes

In 2011 the Institute began a review to decide on core areas that should be given specific priority in coming years. Already in 2009 it was decided to give the international programme work a strategic focus based on current strengths and future opportunities. The areas identified

were 1) support to academic institutions and human rights centres, 2) cooperation with administration of justice institutions; and 3) National Human Rights Institutions.

Future developments

The Raoul Wallenberg Institute has undergone a rapid and dynamic development in terms of activity, degree of activity, geographic distribution and staffing organisation. The board has emphasised the following principles for the development of the Institute:

The Institute's position as an academic institution should be clearly marked and academic research and education given more emphasis. Co-operation with master's programmes in human rights should be given high priority, as well as establishment of research programmes at the Institute. Resources for the library should be strengthened and its activities further integrated with other institute operations. The ambitious publishing programme in cooperation with Brill Academic Publishers must also be maintained and developed.

The Institute's website shall contain adequate information about the Institute and provide up-dated online services that are in demand

The Institute shall also be in the forefront when it comes to the implementation of capacity-building programmes in the field of human rights. In this regard, the Institute should continue to keep the focus on a results-based approach and methodology, and to systematically analyze the work to a greater extent. The multi-year agreements on development cooperation regionally and bilaterally which the Institute has concluded with Sida are the backbone of operations and provide stability for long-term human rights development capacity building programmes. They further enable the Institute to analyse the implementation and methods used in order to develop the programmes. Discussion with Sida for drafting and agreeing on a new agreement effective from 2013 is a high priority.

The priority areas identified in a three-year perspective of the international programme activities will allow the Institute to further develop results-based working methods, but also to review the training activities, organisational

structure and administrative arrangements for the work. Furthermore, the institution should ensure that the necessary expertise in these priority areas is attached to the Institute and its programmes. In light of this, it is important that information, and results from the programme activities are communicated effectively.

The organisation and management structure established in January, 2007, will in 2012 be subject to a review. The organisational development will focus on strengthening the institution's position in areas where it is particularly well placed to carry out projects characterised by efficiency and high quality. All activities should be guided by clear goals where results can be measured. A strategic platform for 2009-201 has been adopted to direct and streamline the management of the Institute's activities.

For the future development of the Institute, three areas are of particular importance in the coming years - research, communication and the library.

Developing a research organisation with its own projects and own staff has great significance for the institution's status as an academic institution. The decision to establish an academic department is an important foundation for research, academic education, publications and the library and is an important step in a strategy for strengthening the institution's academic profile.

Effective communication both internally and externally is very important for the Institute to be built on and capitalise on results and achievements.

The library's importance for the Institute's activities can not be overstated. A reduction of library resources would have a considerable impact on research, education and any other activities that are based on the availability of a well-functioning first-class research library. Although funding for the library currently is secured, it is a challenge to find a long term financial solution. The Institute's financial position is vulnerable even if the surplus in the accounts

over years has made it possible to build up a reserve for the future. This capital has now reached a level that can be considered adequate to safeguard the institute's financial obligations in the short term. Diversification of the revenues is necessary to reduce the Institute's reliance on a few funding sources. The Institute has given this top priority. Alternative funding should be identified for common features and operations that are crucial for the Institute's continued operation. A number of initiatives have been taken this year but they have not yet resulted in any significant change in terms of the Institute's financial position. Despite this, the Institute sees a positive trend in the coming years.

Significant events after the end of the fiscal year

Discussions with Sida have been initiated re the drafting of a new agreement with Sida. A new agreement for a human rights capacity building programme in Kenya has been entered into with Sida.

Mrs. Miriam Estrada-Castillo has been recruited as visiting professor at the Institute.

Financial position

During this fiscal year, the Institute has not received any basic funding grants. For activities, the Institute has received SEK 66 million, mostly in grants from Sida. For various programmes and projects, the Institute has also received grants from the Ministry for Foreign Affairs, Torsten and Ragnar Söderbergs Foundations, Brill Academic Publishers, The Justa Gardi Foundation and the Swedish Research Council, DETECTER a Collaborative Research Project under the European Union Framework 7 Security Programme and the European Refugee Fund. Net surplus after appropriations amounted to 0.28 million, compared with 1.0 million in 2010. The result has been carried forward to the project fund under equity.

DISTRIBUTION OF GRANTS, %	2011	2010	CAPITAL RATIOS, %	2011	2010
Sida	83.8	83.9	Equity ratio	31	28
Ministry for Foreign Affairs	5.9	6.2	Liquid ratio	144	137
The Swedish Research Council	0.9	1.8			
Torsten and Ragnar Söderbergs					
Foundations	1.2	1.2			
The Justa Gardi Foundation	1.5	0.0			
European Commission	1.6	1.5			
Brill Academic Publishers	0.4	2.0			
Other grants and income	4.7	3.4			
	100	100			

INCOME STATEMENT, TSEK	Notes	2011	2010
Income	1		
Grants		63 362	62 494
Other income		2 755	1 725
Total income		66 117	62 219
Costs			
General external costs	2, 3	-36 673	-38 096
Personnel costs	4	-28 479	-26 398
Depreciations	5	-409	-443
Total costs		-65 561	-64 938
Operating result		556	-718
Financial income and costs			
Financial income		75	583
Financial costs		0	0
Result after financial income and costs		631	-136
Appropriations	6	-350	1 131
Year end result		281	995

BALANCE SHEET, TSEK	NOTES	2011-12-31	2010-12-31
ASSETS			
Fixed assets			
Equipment and furniture	7	388	521
Improvement on rented office building	8	193	289
Total fixed assets		582	811
Current assets			
Current receivables			
Accounts receivable		511	1 378
Tax receivable		0	22
Other receivables		1 276	1 616
Prepaid expenses, accrued income	9	1 237	1 157
Total current receivables		3 024	4 173
Short term investments	10	15 427	17 665
Cash and bank balances		56 205	61 067
Total current assets		74 656	82 905
TOTAL ASSETS		75 238	83 716
EQUITY AND LIABILITIES			
Equity	11		
Retained earnings		19 341	19 341
Provisions	12	3 837	2 492
Year end result		281	995
Total equity		23 459	22 828
Current liabilities			
Accounts payable		1 363	1 661
Tax liabilities		30	0
Other current liabilities	13	3 500	2 884
Accrued expenses and deferred income	14	4 474	4 258
Deferred income projects in progress	15	42 411	52 085
Total current liabilities		51 778	60 888
TOTAL EQUITY AND LIABILITIES		75 237	83 716
Pledged assets		None	None
Contingent liabilities		None	None

CASH FLOW	2011	2010
Operating activities		
Operating result	556	-718
Depreciation	409	443
Financial income	75	583
Financial costs	0	0
Cash flow from operating activities before changes in working capital	1 040	307
Increase/decrease in receivables	1 149	-138
Increase/decrease in accounts payable	-298	201
Increase/decrease in other liabilities	-8 812	-5 390
Cash flow from operating activities	-6 921	-5 019
Investments		
Acquisition of tangible assets	-180	-112
Cash flow from investment activities	-180	-112
Change in liquid assets	-7 100	-5 131
Cash and cash equivalents at the beginning of year	78 732	83 863
Cash and cash equivalents at the end of year	71 632	78 732

NOTES AND ACCOUNTING PRINCIPLES

General accounting principles

The organisation's accounting principles comply with the Swedish Annual Accounts Act (ÅRL) and the Swedish Accounting Standards Board's (BFN) guidelines and recommendations for non-profit associations. Assets, provisions and liabilities has been valued at acquisition value unless otherwise stated.

Revenue recognition

All grants from Sida and other governmental authorities are reported in accordance with BFN R5 on government support, the revenues are recognised only when it is very likely that the support will not be redeemed, i.e. costs have incurred for the purpose. The grant is thus entered as a liability until expenses to be covered by the grant arise.

Depreciation of fixed assets

Depreciation according to plan is based on original costs and life expectancy. The following rates of depreciation apply:

Tangible fixed assets

Furniture, office equipment	25%
Improvement on rented office building	20%

Receivables

Receivables are, after individual valuation, recorded at the amount they are expected to be received.

Receivables and liabilities in foreign currencies

Receivables and liabilities in foreign currencies have been converted at the closing date

NOTE 1 INCOME	2011	2010
Swedish International Development Cooperation Agency	55 402	53 719
Ministry for Foreign Affairs	3 901	4 034
The Swedish Research Council	593	1 148
Torsten and Ragnar Söderbergs Foundations	756	758
The Justa Gardi Foundation	1 007	13
European Commission	1 055	991
Brill Academic Publishers	275	1 292
Other grants	373	540
Other income	2 755	1 725
Total income	66 117	64 219

NOTE 2 AUDIT FEES	2011	2010
Auditing		
Ernst & Young	125	77
Projects/programme auditing		
Ernst & Young	109	123
Total fees	234	200
NOTE 3 LEASING COSTS	2011	2010
Leasing costs amount to	975	670

NOTE 4 EMPLOYEES AND STAFF COSTS

Average number of employees	2011	women %	2010	women %
Sweden	39	64	36	58
Other countries	4	75	4	75
Total	43	65	40	60

Salaries, employee benefits, social security costs

	2011	2010
Senior executives		
- Chairman of the Board	43	42
- Director and Deputy Director	1 563	1 534
Other employees	16 106	14 706
Total	17 712	16 282

Social security contributions	7 270	6 779
(whereof pension costs)	1 976	1 906
Of the total pension costs, 347 TSEK (467 TSEK) relates to the Director and the Deputy Director.		

Salaries and employee benefits by country

Sweden	15 441	14 144
Other countries	2 271	2 138
Total	17 712	16 282

Gender balance of the Raoul Wallenberg Institute's management

	Women	Men
Board of Trustees	5	5
Management Team	3	3

NOTE 5 DEPRECIATION OF TANGIBLE FIXED ASSETS

	2011	2010
Furniture and equipment	313	346
Improvement on rented office building	96	96
Total	409	443

NOTE 6 APPROPRIATIONS

	2011	2010
Reversal of provision for organisational development	0	-327
Reversal of provision communication/fundraising	0	-387
Reversal of provision for NHRI Pilot Project Project Fund	-50	-218
Reversal of provision for seminar 20th Anniversary international programmes	0	-199
Provision for Raoul Wallenberg Seminar 2012	400	0
Total	350	-1 131

NOTE 7 EQUIPMENT	2011	2010
Opening acquisition value	3 014	3 509
Additions	180	112
Disposals	-244	-607
Closing accumulated acquisition values	2 950	3 014
Opening depreciations	2 493	2 753
Depreciations for the year	313	346
Disposals	-244	-607
Closing accumulated depreciations	2 562	2 493
Closing residual value according to plan	388	521

NOTE 8 IMPROVEMENTS ON RENTED OFFICE BUILDING	2011	2010
Opening acquisition value	1 715	1 715
Additions	0	0
Closing accumulated acquisition values	1 715	1 715
Opening depreciations	1 426	1 330
Depreciations for the year	96	96
Closing accumulated depreciations	1 522	1 426
Closing residual value according to plan	193	289

NOTE 9 PREPAYMENTS AND ACCRUED INCOME	2011	2010
Prepaid rent	908	845
Other items	329	312
Total	1 237	1 157

NOTE 10 SHORT TERM INVESTMENTS	Book value	Market value
SEB Penningmarknadsfond	4 752	4 855
SEB Ränteplus	1 515	1 415
SEB Räntetrappa	1 010	1 013
SEB Choice High Yield	974	999
SEB Multi Asset Defensiv	1 464	1 419
SEB Räntehedge Alpha	4 000	4 191
SEB Nollkupongsobl nr 854	1 712	1 898
	15 427	15 790

NOTE 11 CHANGE IN EQUITY	2011	2010
Change in provisions	22 828	22 964
Change in provisions	350	-1 131
Net income of the year	281	995
Closing balance	23 459	22 828

NOTE 12 PROVISIONS	2011	2010
Publications	151	151
IT-system	250	250
Seminar 25th Anniversary	145	145
Seminar Humanitarian Law	200	200
Seminar 20th Anniversary international programmes	51	51
Communication/fundraising	413	413
NHRI Pilot Project (Project Fund)	222	272
Raoul Wallenberg seminar 2012	400	0
Project Fund	2 005	1 010
Total	3 837	2 492

NOTE 13 OTHER CURRENT LIABILITIES	2011	2010
Accrued interest Sida	645	216
Other items	2 855	2 628
Total	3 500	2 844

NOTE 14 ACCRUED EXPENSES AND DEFERRED INCOME	2011	2010
Accrued salaries and holiday pay liabilities	2 743	2 602
Accrued social security contributions	866	808
Employer's contribution	407	401
Other accrued expenses	458	447
Total	4 474	4 258

NOTE 15 DEFERRED INCOME/CURRENT PROJECTS	2011	2010
Swedish International Development Cooperation Agency	37 245	42 954
Swedish Ministry for Foreign Affairs	1 913	3 448
The Swedish Research Council	674	404
Torsten and Ragnar Söderbergs Foundations	628	1 331
The Justa Gardi Foundation	1 355	2 362
European Commission	183	682
Brill Academic Publishers	0	180
Other contributions	413	724
Total	42 411	52 085

LUND May 28, 2012


HANS CORELL
Chairman of the board


LENA HJELM-WALLÉN




NILS ERIK ÅHMANSSON



MARIKA FAHLÉN



CAMILLA ROBINSON SEIPPEL



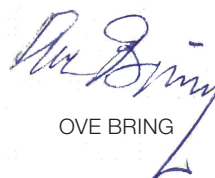
BÖRJE LJUNGGRÉN



ZOPHIE LANDAHL



CHRISTINA MOËLL

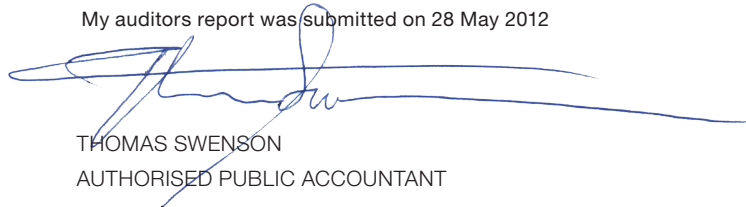


OVE BRING



LEIF LJUNGHOLM

My auditors report was submitted on 28 May 2012


THOMAS SWENSON
AUTHORISED PUBLIC ACCOUNTANT



Auditor's report

To the board of directors of the Charitable Trust Raoul Wallenberg Institute, corporate identity number 845001-3548

Report on the annual accounts

We have audited the annual accounts of the Charitable Trust Raoul Wallenberg Institute for the year 2011.

Responsibilities of the Board of Directors for the annual accounts

The Board of Directors are responsible for the preparation and fair presentation of these annual accounts in accordance with the Annual Accounts Act, and for such internal control as the Board of Directors determine is necessary to enable the preparation of annual accounts that are free from material misstatement, whether due to fraud or error.

Auditor's responsibility

Our responsibility is to express an opinion on these annual accounts based on our audit. We conducted our audit in accordance with International Standards on Auditing and generally accepted auditing standards in Sweden. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the annual accounts are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the annual accounts. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the annual accounts, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the trust's preparation and fair presentation of the annual accounts in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the trust's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the Board of Directors, as well as evaluating the overall presentation of the annual accounts.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinions

In our opinion, the annual accounts have been prepared in accordance with the Annual Accounts Act and present fairly, in all material respects, the financial position of the trust as of 31 December 2011 and of its financial performance and its cash flows for the year then ended in accordance with the Annual Accounts Act.

Report on other legal and regulatory requirements

In addition to our audit of the annual accounts, we have examined the administration of the Board of the Charitable Trust Raoul Wallenberg Institute for the year 2011.

Responsibilities of the Board of Directors

The Board of Directors is responsible for administration under the Trusties Act and the Appointment of the Trust.

Auditor's responsibility

Our responsibility is to express an opinion with reasonable assurance whether any member of the Board of Directors has, in any other way, acted in contravention of the Trusties Act or the Appointment of the Trust. We conducted the audit in accordance with generally accepted auditing standards in Sweden.

As a basis for our opinion concerning discharge from liability, in addition to our audit of the annual accounts, we examined significant decisions, actions taken and circumstances of the trust in order to determine whether any member of the Board of Directors is liable to the trust. We also examined whether any member of the Board of Directors has, in any other way, acted in contravention of the Trusties Act or the Appointment of the Trust.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Opinions

The members of the board of directors have not acted in contravention of the Trusties Act or the Appointment of the Trust.

Malmö den 28 maj 2012

Ernst & Young AB

Thomas Swenson
Authorized Public Accountant



1. HANS CORELL | Chairman

2. OVE BRING

3. MARIKA FAHLÉN

4. LENA HJELM-WALLÉN

5. BÖRJE LJUNGGREN

6. LEIF LJUNGHOLM

7. CHRISTINA MOÉLL | Vice Chairman

8. CAMILLA ROBINSON SEIPPEL

9. ZOPHIE LANDAHL | Staff Representative

10. NILS ERIK ÅHMANSSON





ABOUT RAOUL WALLENBERG

Raoul Wallenberg – a name which is immediately associated with exceptional courage, with humanity and determination. As a Swedish diplomat in Budapest in the years 1944/45 he saved tens of thousands of Hungarian Jews from a certain death at the end of the Second World War – an effort which indisputably appears to be one of the great achievements during the last century. What happened to him personally after he had been arrested by Russian troops and brought to Moscow is still a story untold. The Institute is named after Raoul Wallenberg in order to honour his work in the cause of humanity.

RAOUL WALLENBERG INSTITUTE

OF HUMAN RIGHTS AND HUMANITARIAN LAW

www.rwi.lu.se

LUND (Head office)

Stora Gråbrödersgatan 17 B
P.O. Box 1155
SE-221 05 Lund, Sweden
Phone +46 46 222 12 00
Fax +46 46 222 12 22

BEIJING

14th Floor
Tower A Pacific Century Place
2A Gongti Beilu Chaoyang District
Beijing 100027 P.R. China
Phone +86 10 6587 6401
Fax +86 10 6539 1060

NAIROBI

Nairobi Office
7th Floor Eden Square, Block 1,
Chiromo Road, Westlands
P.O. Box 1590, 00606 Nairobi
Phone +254 20 3673017
Fax +254 20 3673231

JAKARTA

Hotel Grandkemang, Room 293
Jl. Kemang Raya no. 2H
12730 Jakarta Selatan, Indonesia
Phone +62 21 719 41 68, +62 21 719 04 09
Fax +62 21 717 91 389

ISTANBUL

Cihangir Mah. Siraselviler Cad.
Selvi Han No: 27 D:10
34200 Beyoğlu, Istanbul, Turkey
Phone +90 212 243 8656
Fax +90 212 243 8616

AMMAN

P.O. Box 852456
111 85 Amman, Jordan
Phone +962 779 38 60 28