

# RAOUL WALLENBERG INSTITUTE

OF HUMAN RIGHTS AND HUMANITARIAN LAW



Annual report **2012**

4 A dynamic year

8 Raoul Wallenberg centenary

12 Academic activities

16 International programmes

26 The library

30 Administration report

## **THE RAOUL WALLENBERG INSTITUTE OF HUMAN RIGHTS AND HUMANITARIAN LAW**

is an academic institution, founded in 1984 at the Faculty of Law of Lund University. The Institute is named after the Swedish diplomat Raoul Wallenberg, to pay homage to his work in the cause of humanity in Hungary at the end of the Second World War.

The Institute's mission is to promote universal respect for human rights and humanitarian law through research, academic education and institutional development programmes. Our vision is to be a center of excellence for promoting the development of societies based on a culture of human rights. The Institute is a value based organisation and our four core values are: respect, integrity, inclusiveness and inspiration.

Research and academic education form the core of the Institute's activities and contribute to develop, concretise and advance the discourse of international human rights law. The Institute combines its academic work with an extensive human rights capacity development programme, mainly for academic institutions, law enforcement and criminal justice institutions, and national human rights institutions, primarily in developing countries and countries in transition. This combination provides a unique platform where theory and practice can meet and interact in order to further the development and application of international human rights law.

The Institute has one of Northern Europe's largest research libraries in Public international law, with particular focus on international human rights law, humanitarian law and related topics. The library is a valuable asset for researchers, practitioners and students, not only in Lund, but also throughout Sweden and internationally.

The Institute closely cooperates with Lund University. In addition to this cooperation, the Institute also cooperates and maintains relations with several other academic institutions, international organisations, government agencies and civil society organisations in Sweden and abroad. The Institute is a member of several Nordic, European and international networks within its mandate.

The activities of the Raoul Wallenberg Institute do not involve official reporting on State performance or the monitoring of State compliance. Furthermore, the Institute does not involve itself in individual cases regarding alleged human rights violations.



**A dynamic year**

## WELCOME

**T**his year has inevitably been coloured by the centenary of Raoul Wallenberg 1912-2012. In Lund, the Institute hosted an extraordinary event in the University Hall to commemorate the Raoul Wallenberg Year when Kofi Annan, Navi Pillay and Margot Wallström were speakers for the Raoul Wallenberg Centenary.

For me personally, this year meant getting to know Raoul Wallenberg's deeds and life better, through the many talks and conversations I have had the privilege to take part in as Director of the Institute. One particularly memorable occasion was when I spoke at the Peace Palace in The Hague.

I believe there are many things we can learn from Raoul Wallenberg's life. One is that "one person can make a difference", which is something we try to communicate and live by as much as we can at the Institute. If we act as if what we do matters, it does.

Another lesson to carry with us from this year is that we, as individuals and as an organization, have responsi-

bilities beyond our immediate realities and that this must let us change the way we see the world. We can expand our thinking as an organisation to not just fulfill orders and plans, but to go beyond that and create lasting solutions, both for the institutions we work with and for the people we meet.

I would like to share some examples of our achievements this past year. A major step, and a testament to our ability to deliver and fulfill expectations put on us as a human rights institute, was the new five year agreement signed this year with the Swedish International Development Cooperation Agency (Sida). This agreement proves that we are on the right track and we look forward to continuing our close cooperation with our colleagues at Sida in both new and old areas of work.

In December, the Institute commenced a new programme in Myanmar, and another new programme in Cambodia was in the planning stage. Our programme in Vietnam has also developed in a positive way. During the year our International Programme Department signed a Memorandum of



Understanding with both the Police Academy in Turkey and with the Ministry of Justice in Tunisia. We are also pleased to add that our extensive programme in China has been evaluated with the highest grade of achievements! To read that evaluation is a true joy.

Turning next to the Institute's academic achievements, we were pleased to announce that our researcher in Business and Human Rights Law, Dr Radu Mares, was appointed Associate Professor at the Law Faculty at Lund University.

A new lecture series known as the Raoul Wallenberg Lecture Series was introduced in September. Raoul Wallenberg Institute Board Chairman, Hans Corell, and Swedish Ambassador for Human Rights, Hans Dahlgren, were among those who presented speeches which were open to the public.

In November, at the Swedish Human Rights Days in Gothenburg, we participated in seven seminars discussing various human rights issues. One of the seminars, which was recorded for national Swedish television, highlighted the accomplishments of Raoul Wallenberg and shared the important legacy that he left for the world of today.

In December, a team from the Institute and from Lund University travelled to the United States. We gave two very well received presentations where we shared information about the important role and worldwide activities of the In-

stitute with people who have a strong interest in human rights. The first was at New York University in New York, in which Margot Wallström gave a speech, and the second at the Wende Museum in Los Angeles. We will follow up to build continuing relationships with the guests and to encourage their future support in many ways for the Institute.

I would also like to extend our sincere thanks to Ambassador Hans Corell for his dedicated work as chairman of the board of trustees for the Institute. Hans decided to step down as chairman at the end of this year. A warm welcome to Dr. Lennart Svensäter, President of the Court of Appeal for Skåne and Blekinge, who was elected as the new chairman as from 1 January 2013.

Best regards,

A handwritten signature in black ink, appearing to read 'Marie Tuma', with a stylized flourish at the end.

Marie Tuma







RAOUL WALLENBERG

1912012

"I believe that to remain true to Wallenberg's legacy, and to give meaning to his sacrifice, we must focus not on our successes but on our failures. We must always ask ourselves – what more can we do to prevent the appalling abuse of human rights?"

Kofi Annan, Lund 24 April 2012



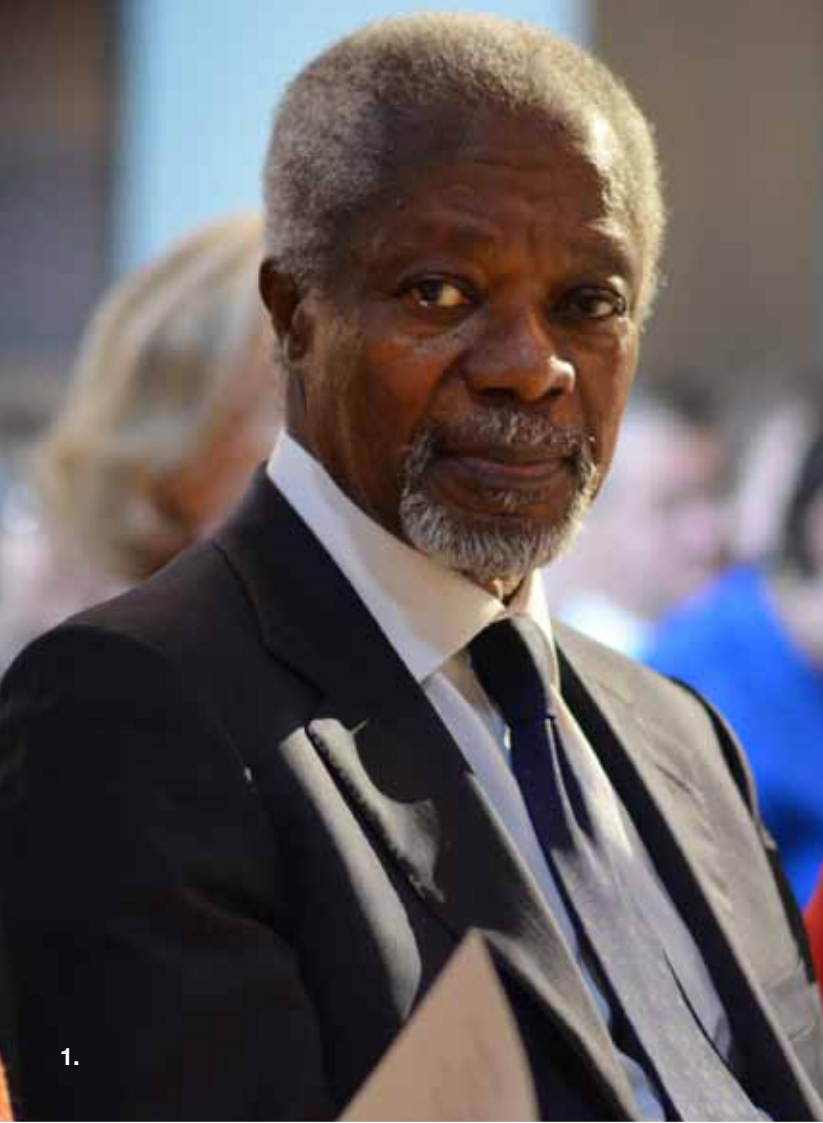


## RAOUL WALLENBERG CENTENARY

In 2012 the Institute, and many other actors, commemorated the centenary of Raoul Wallenberg's birth. With tolerance, empathy and courage, Raoul Wallenberg stood up for the good of humankind. For the Institute, it is an honour and a privilege to bear his name and to work in his spirit by contributing to institutional and legal developments that enhance the equal enjoyment of all human rights, for all individuals.

Throughout 2012, the Institute carried out, and participated in, a variety of commemorative activities around the world to pay respect to the memory and legacy of Raoul Wallenberg's deeds. In cooperation with Lund University, the Institute arranged four lectures in Lund on 24 April under the heading "Implementing Human Rights and International Humanitarian Law – the Individual Responsibility". Mr. Kjell Å. Modéer, Senior Professor from the Faculty of Law of Lund University, Mr. Kofi A. Annan, former

UN Secretary-General, Ms. Navi Pillay, UN High Commissioner for Human Rights, and Ms. Margot Wallström, Special Representative of the UN Secretary-General on Sexual Violence in Conflict and Chairperson of the Lund University Board, shared their experiences with invited guests and students. On Wednesday 25 April a panel debate was organised under the heading "Promote, Protect and Punish". Panelists included Mr. Thomas Hammarberg, former Commissioner for Human Rights of the Council of Europe, Professor Leila Nadya Sadat from the Washington University School of Law and Judge Almiro Rodrigues from the Constitutional Court of Kosovo. The panel was moderated by Mr. Todd Benjamin. Both events were broadcast by the Swedish Public Service Television (Kunskapskanalen). ►



1.



2.



3.

#### 24-25 APRIL 2012

**PREVIOUS PAGE:** Navi Pillay, Kofi Annan and Margot Wallström enters the main university hall.

1. Kofi Annan.
2. Almiro Rodrigues, Leila Nadya Sadat and Thomas Hammarberg during the panel debate on 25 April.
3. Margot Wallström during her lecture "Conflict-related sexual violence: Another kind of war".
4. Raoul Wallenberg's sister, Nina Lagergren, in conversation with students.
5. Hans Pålsson performed Franz Schubert (1797-1828), Impromptu in G flat major, Impromptu A flat major and Ludwig van Beethoven (1770-1827), Piano Sonata in C minor Op. 13 "Pathétique", Grave. Allegro di molto e con brio, Adagio cantabile, Rondo: Allegro





4.



5.



# **Academic activities**





## CREATIVE RESEARCH ENVIRONMENT

The Institute's Academic Department consists of four components: academic and applied research, academic teaching and training, publications and a human rights library. These four areas form the basis for a creative and skilled team of researchers, teachers, editors and librarians. This creative research environment attracts researchers from all over the world who come to participate in the dynamic, academic environment and to immerse themselves in the literature of the library.

These researchers conduct research on many aspects of human rights, including the rights of persons belonging to minorities, human rights based approaches to State organisation, business and human rights, counter terrorism, national human rights institutions and the right to development. In 2012, the department produced articles on CSR in the mining industry, R. Mares, 'Corporate responsibility and compliance with the law: land, dispossession and aftermath at Newmont's Ahafo project in Ghana', *Business and Society Review* 117.2 (2012); R. Mares, 'From charity to institutional development: Reflections on Newmont's

CSR strategies and conflict-avoidance in Ghana' in I. Borefijn et al., *Human Rights: Pre-Conflict, In Conflict, and Post-Conflict*, Intersentia (Cambridge, 2012) pp. 213-231.

The staff is involved in teaching on the Master's Programme of International Human Rights Law, building on the long standing cooperation between the Institute and the Law Faculty of Lund University. The teachers at the department offer courses on human rights and women, human rights and children in armed conflicts, human rights in practice, business and human rights and supervise Master's theses.

Our researchers and teaching staff are also active as experts and facilitators in human rights matters and give technical assistance concerning legal matters, legal research, pedagogical matters and provide on-going evaluations of government strategies. One such project in 2012 concerned an on-going evaluation project for the Swedish Strategy for Roma Inclusion, in which, the Institute educated local government politicians and managers in a human rights based approach and in the rights of national minorities. ►

## ROMA FOCUS

The Roma population in Europe and Sweden face human rights violations on a daily basis. As the former chairperson of the Swedish Delegation on Roma Issues, Ambassador Maria Leissner states: Sweden is a developing country when it comes to the situation of the Roma.

Anti-Ziganism still holds some influence in all fields of Swedish society, carrying with it stereotypical views of Roma that affect their possibilities in the labour market, in the housing market and in the education system. This makes it one of the most pressing human rights situations in Sweden.

In 2006, Dr. Christina Johnsson became a member of the Swedish Government Commission Inquiry of the Delegation on Roma Issues. It finished its work in 2010 by submitting its report to the Government. Since then, the Institute has had activities on the human rights of Roma, including seminars, giving expert opinions, holding lectures and speeches on human rights and on anti-Ziganism and hate crimes.

The Institute was also asked to conduct an independent and on-going evaluation of the Swedish National Strategy for Roma Inclusion by the County Board of Stockholm. This project runs over three years and will be concluded by a final report to the Swedish Government in 2015. We frequently participate as experts in training on the rights of minorities and in particular concerning the right of the Roma to participate in public affairs.

## HR@3

The HR@3 is an internal series of talks by the Institute's researchers, guest researchers and other interested parties invited by the Institute. These seminars are a platform for staff members and speakers to discuss a variety of human rights issues, share research, exchange ideas and receive feedback in an informal and friendly environment of colleagues.

## ROUND-TABLE SEMINARS

The Institute's Academic Department runs round-table seminars for the department's staff, guest researchers and experts. The format of the round-table seminars is informal, and the aim is to contribute to the department's scholarly discussions on issues important to the staff, and is not limited to human rights topics; this year's seminars were held on research methods and counter-terrorism.

## HUMAN RIGHTS DAYS

Every year, the Raoul Wallenberg Institute co-organises the Swedish Forum for Human Rights (MR-dagarna). This year, it took place in Gothenburg, and some of the Institute's researchers conducted seven seminars. The themes of our activities were: Raoul Wallenberg's centennial anniversary, human rights education, business and human rights – international standards and Swedish practice, right to protection, the role of the International Criminal Court (ICC), human rights-based pedagogy and human rights-based approaches to State organisation.

## SCHOLARSHIPS

### *Martin Alexanderson Research Scholarship*

Martin Alexanderson, a human rights defender and an ardent advocate of minority rights, tragically passed away in 2001. He was only 38 years old. In 2007, his parents, Thomas and Lilianna Alexanderson, created a fund in memory of their son to be held in trust by the Raoul Wallenberg Institute. Under the agreement, "The Martin Alexanderson Research Scholarship for Human Rights Studies" is to be awarded to a student or researcher planning to carry out a study in the field of human rights, preferably focusing on Roma populations or anti-Semitism, and who is well qualified for the task.

The scholarship amounts to SEK 20,000 and is awarded annually by the Raoul Wallenberg Institute Board.

No scholarship awarded in 2012.

## PUBLICATIONS

In 2012, RWI Publications, through its longstanding cooperation with Martinus Nijhoff (an imprint of Brill), produced the following publications: Nordic Journal of International Law; International Journal on Minority and Group Rights; Volume 11 Baltic Yearbook of International Law; RWI Human Rights Library (Blue Series): The Raoul Wallenberg Institute Compilation of Human Rights Instruments - 3rd Revised Edition and The Concept of Group Rights in International Law: Groups as Contested Right-Holders, Subjects and Legal Persons.

Anna  
Lindh  
Minnes  
fond

## ANNA LINDH LECTURE

**In 2005 the Raoul Wallenberg Institute decided to honour the memory of the late Swedish Minister for Foreign Affairs, Ms. Anna Lindh, by hosting an annual Anna Lindh Lecture to be given by a distinguished scholar, politician, diplomat or top-level international civil servant. The event is organised by the Institute and Lund University with support of the Anna Lindh Memorial Foundation at a solemn ceremony in the University Hall.**

The first Anna Lindh Lecture in 2005 was given by Ms. Gro Harlem Brundtland, former Norwegian Prime Minister and Director-General of the World Health Organisation, followed by Lord Chris Patten, Chancellor of the University of Oxford and former European Commissioner for External Relations (2006), Ms. Rosalyn Higgins, judge and President of the International Court of Justice (2007), Mr. Kofi Annan, former Secretary-General of the United Nations (2008), Dr. Shirin Ebadi, the Nobel Peace Prize Laureate in 2003 (2009), Dr. Hans Blix, former Director-General of the Inter-

national Atomic Energy Agency (2010) and Mrs. Hina Jilani, a prominent human rights defender from Pakistan (2011).

This year's lecture was given, for technical reasons, on 28 January 2013 by professor Danilo Türk, former President of the Republic of Slovakia under the heading "Human Rights in a Temporal Perspective: From the "Age of Human Rights" to an Era of Uncertainty".

All lectures are published on [www.rwi.lu.se](http://www.rwi.lu.se)

Professor Danilo Türk





# **International programmes**





## A JUST SOCIETY WORLDWIDE

**In our international programmes, we work with key individuals and institutions in society that can bring about lasting human rights change. We develop capacity to create a deep-rooted culture that supports and protects human rights.**

Through long-term programmes, the Institute cooperates with partner institutions to strengthen their capacity to educate and train others, network, assess institutional performance, document and publish, mobilise resources and strategically plan, and promote legal and policy reform, in the field of human rights and humanitarian law.

2012 was an exciting year for the international programmes of the Institute. New cooperation programmes were established in Cambodia, Kenya and Myanmar, and partnerships were developed further in Vietnam, Turkey

and Tunisia. At the same time, existing programmes and cooperation in China, Indonesia, Belarus and regionally in Asia, Sub-Saharan Africa and the Middle East and North Africa were strengthened.

In Sub-Saharan Africa, the Raoul Wallenberg Institute supported African National Human Rights Institutions (NHRIs), strategic academic institutions and the East African Court of Justice to effectively perform their respective roles and mandates so as to promote human rights, peace and security in the region. ►

The Institute's cooperation programme in Kenya in 2012 focused on creating sustainable capacity within the Kenya Prisons Service to meet relevant international human rights standards, using an integrated approach across all levels of the correctional system.

Regionally in Asia, exchange, capacity and networking were enhanced among the Institute's academic and NHRI partners, including through blended learning initiatives.

Cooperation with the Chinese justice sector and academia, as well as support for the establishment of a NHRI in China, continued to deliver good results, with universities in Western China and provincial prosecutorial branch colleges making important progress in human rights education. This was confirmed in an external evaluation of the Institute's work in China which found this work to be a remarkable example of foreign engagement that has had a measurable impact in the key area of human rights education in China (read more on page 21).

In Indonesia, the Institute continued to support the implementation of the Indonesian National Human Rights Action Plan in cooperation with the Directorate General of Human Rights, and cooperated with the Directorate General of Corrections on how to operate prisons in compliance with human rights standards and professional prison management principles.

Seeking to strengthen human rights education and research in Turkey, cooperation with Turkish academic institutions and the Justice Academy developed further, and a partnership was established with the Turkish National Police Academy.

In Belarus, cooperation in 2012 continued with academic institutions to develop human rights, humanitarian law and gender equality capacity and resources.

Through cooperation with judicial training academies in the Middle East and North Africa region, a compilation on Jurisprudence and Human Rights Standards in Arab Courts was developed.



## FACTS ABOUT INTERNATIONAL PROGRAMMES

- ▶ Approximately 90% of the Institute's operations concern international development cooperation programmes
- ▶ In 2012, around 35 Institute staff worked with international programmes at headquarters in Lund, Sweden, and our offices in Amman, Beijing, Istanbul, Jakarta and Nairobi
- ▶ The Institute primarily works with international programmes in developing countries in Asia, Africa, Europe and the Middle East
- ▶ The international programmes of the Institute focus on cooperation with: (i) Academic institutions, (ii) NHRIs, and (iii) Justice sector institutions
- ▶ Interventions are designed closely together with partners entailing local ownership and strong partnerships
- ▶ The Swedish International Development Cooperation Agency (Sida) remained the main source of funding for the Institute's international programmes in 2012
- ▶ All programmes are driven by local demand and focused on delivering results for improved practical application of human rights
- ▶ Programme activities do not include monitoring of State compliance in the human rights field or any other activities that could be interpreted to be of a confrontational character

## HUMAN RIGHTS LAW DOWN TO EARTH

### PROGRESS IN THE MIDDLE EAST AND NORTH AFRICA

"Can you believe it! So many judges here now have the courage to apply international human rights principles in their judgements! This is the greatest programme ever! It has really helped us to do a better job"

These words are from Dr. Mohammad Al-Tarawneh, a judge in the Jordanian Cassation Court and one of the active enthusiasts who contributed to the great success of the judicial cooperation under RWI's regional programme in the Middle East and North Africa during 2012.

Judge Al-Tarawneh continues his story:

"Two weeks ago a judge colleague came to me and asked for advice. He said he did not find any provisions in our laws for a case he had about a woman who was in a land dispute. I told him that there is a book at the Judicial Institute he should look at. He went there and checked and was very happy and convinced after he for the first time applied international law directly in his court room".

The booklet Judge Al-Tarawneh recommended to his colleague is entitled "Jurisprudence and Human Rights Standards in Arab Courts". This publication is a selection and analysis of judicial decisions from five Arab countries (Algeria, Iraq, Jordan, Morocco and Palestine) in which domestic courts have relied on international human rights law to decide on cases brought before them. The cases were collected and reviewed by the national working groups set up by the Judicial Institutes of the five countries committed to the regional judicial cooperation.

The members of the national working groups are rightfully proud of their achievement. Never before have judicial Arab institutes worked together to produce a compilation of their courts' best practices in applying international human rights law.

One of the reasons hindering the widespread application of international human rights law is related to judges' and legal practitioners' lack of awareness of when and how they could make reference to international law, and the various human rights conventions their country ratified, and how these ratifications affect their work. Hence, the first section of the booklet is dedicated to the place the

constitutions give to international conventions the countries have ratified. When the status of the international convention is not clear, as is the case in several Arab constitutions, then jurisprudence becomes very important.

The fact that many of the Arab countries have similar legal traditions and laws made the work relevant for all countries involved. A result of this is that a judge in Iraq could be inspired by how a judge in Algeria had applied international human rights law directly in her/his court room. The arguments used by the Algerian judge could in many cases easily be used by a judge dealing with a similar case in another Arabic country, or at least inspire other Arabic judges to find references based on human rights.



The booklet is just one piece of what has been developed under our MENA programme, but indeed it makes us all proud when judges from various countries are reporting how grateful they are to see and use examples and best practices from their own Arab courts.





### 15 YEARS OF RESULTS IN CHINA

The Raoul Wallenberg Institute has been supporting human rights education in China since 1996. The programme started with a request to the Institute from the Chinese Ministry for Foreign Affairs to organise human rights training courses for high-level judges, prosecutors and officials in the justice sector. Exchanges with legal academics commenced soon after, and over the years a comprehensive programme of support for human rights education developed in close consultation with Chinese partner institutions. The programme has mainly focused on building an institutional capacity for human rights education to an international standard in Chinese academia and within the procuratorate, but it also includes support to human rights research and promotes the establishment of a national human rights institution. The Institute's China Programme is one of the primary vehicles for Sweden's engagement with China on human rights issues, and is seen as constructive and responding to a need among key professional groups in China.

In early 2012, the Institute commissioned an external evaluation covering 15 years of active engagement on hu-

man rights education in China, spanning 1996 – 2011. The review was undertaken by two consultants of the Great Britain-China Centre (GBCC), a UK non-profit organisation sponsored by the Foreign & Commonwealth Office. GBCC is the leading UK organisation facilitating effective dialogue with the Chinese government, society and business, and has been implementing projects on the rule of law and human rights in China since 1991. The evaluators met with the Institute's partners in the academic and justice sector fields, both in Beijing and in the provinces, and they also held discussions with other international organisations active in the field of legal reform and the rule of law.

The evaluation report reviewed the Institute's China Programme in exceedingly positive terms, concluding that "The RWI China Programme running from 1996 to the present is a remarkable example of foreign engagement that has had a measurable impact in the key area of human rights education. The RWI Programme has a degree of integrity that sets its achievements in a class of its own" and "RWI has been able to push at the boundaries of what can be done in the human rights field." ►

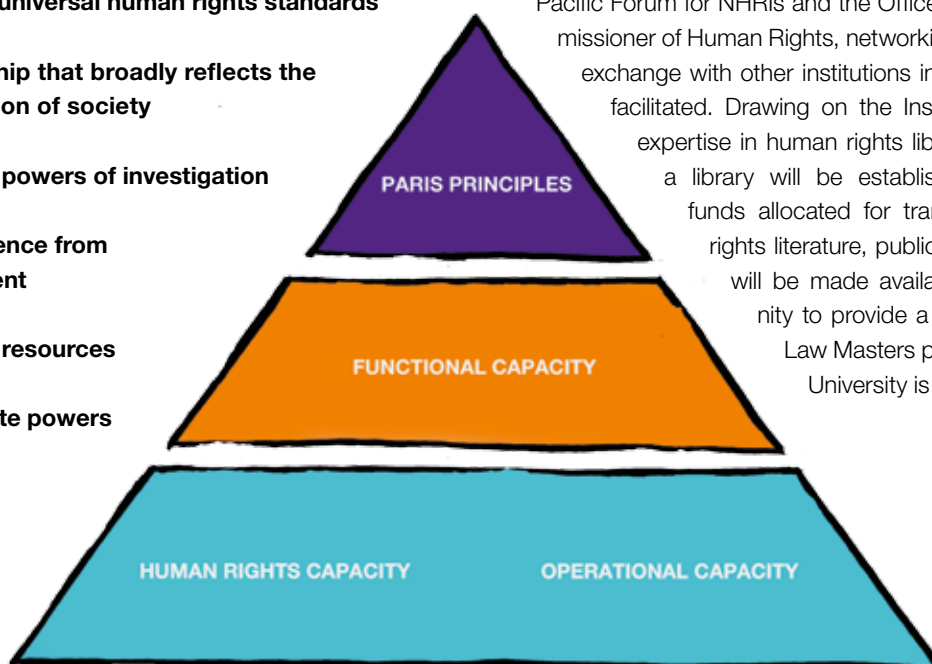
## BREAKING NEW GROUND IN MYANMAR

Since 2010, the winds of democratic transition have been sweeping through Myanmar, paving the way for the establishment of a State based on the rule of law. As part of the transition public institutions are being reformed whilst other have been created. The Myanmar National Human Rights Commission (MNHRC) is one such institution. The MNHRC was created by Presidential Decree on 5 September 2011 as a temporary solution in the process of adopting the required national legislation that would enable a fully independent institution to be established.

## PARIS PRINCIPLES

The Paris Principles Relating to the Status of National Institutions set out the following minimum requirements for national human rights institutions to be considered credible and to operate effectively:

- ▶ **Incorporated in legislation or the constitution**
- ▶ **A clearly defined and broad-based mandate based on universal human rights standards**
- ▶ **Membership that broadly reflects the composition of society**
- ▶ **Adequate powers of investigation**
- ▶ **Independence from Government**
- ▶ **Adequate resources**
- ▶ **Appropriate powers**



In the early stages of Myanmar's transition process, the Raoul Wallenberg Institute initiated discussions with the MNHRC which was clearly in need of advice and guidance on how to build up an effective institution. Through a number of initial meetings and a jointly organised training workshop on human rights and the roles and functions of NHRIs for government stakeholders, the Institute was able to show the full range of expertise it has to offer, ranging from world class experts to tools and networks, leading towards a partnership between the two institutions. After an intensive planning phase, a joint programme was developed between the Institute and MNHRC. Sida pledged a financial contribution of 16 million SEK over a period of 2 years, with a review for a possible continuation due at the end of the second year, in 2014.

The activities identified will enhance the capacity of both Commissioners and staff with the result that by 2015, the MNHRC, and its key partners, will be able to more effectively implement their mandates to promote and protect human rights in Myanmar. Applying the holistic capacity assessment model developed by the Institute (as illustrated below), a combination of both human rights specific training and key functional skills will be offered to further compliance with the Paris Principles. In close cooperation with the Asia Pacific Forum for NHRIs and the Office of the High Commissioner of Human Rights, networking and information exchange with other institutions in the region will be facilitated. Drawing on the Institute's recognised expertise in human rights library management, a library will be established and through funds allocated for translation of human rights literature, publications in Burmese will be made available. The opportunity to provide a scholarship to the Law Masters programme at Lund University is also foreseen.









**EXAMPLES OF ACTIVITIES DURING THE YEAR** ▶ **16-27 April, Kenya Prison Service Human Rights Officer Training**, auditing against the UN Standard Minimum Rules for the Treatment of Prisoners. ▶ **20-22 April, Human Rights training of trainers for police in China**, A task team consisting of 24 police trainers from both local universities and police training academies in six provinces participated. The aim was to further provide the participants with the knowledge and skills on how to effectively deliver Human Rights education/training for police officers in China. ▶ **24-25 April Raoul Wallenberg Centenary** The Institute, in cooperation with Lund University, honoured the centenary of the birth of Raoul Wallenberg with a two-day long international event in Lund. The events highlighted his remarkable courage, deeds and how his legacy continues to be an inspiration to individuals and groups that work for the promotion and protection of human rights worldwide. ▶ **2-5 May, Training on Human Rights and Roles and Functions of NHRIs for Judges in Zhengzhou, Henan, China**, The purpose of this training workshop was to raise awareness on human rights and the role of national human rights institutions in promoting and protecting human rights. ▶ **6-10 May Training of Trainers programme on human rights for participants from the Middle East and North Africa**, The aim was that the participants in the ToT-programme would become Master Trainers in Human Rights with an in-depth knowledge of human rights issues. ▶ **14 May, Raoul Wallenberg Centenary in Turkey**, The Institute's Director, Marie Tuma, presented the keynote address at a seminar in Istanbul to mark the centenary of Raoul Wallenberg's birth. This event was jointly hosted by the Swedish, Hungarian and Israeli Consulates in Istanbul. ▶ **14-25 May Human Rights & Leadership Training for Senior Prisons Managers in Kenya** This two-week activity targeted a total of 20 Prison Directors, Provincial Prisons Commanders and Departmental Directors from Prisons Headquarters, with representation from all provinces in Kenya. The participants received advanced training on Human Rights in Correctional Services. ▶ **29 May** Her Royal Highness Princess Bajrakitiyabha Mahidol of Thailand and senior representatives of the Thai Ministry of Justice paid a courtesy visit to the Institute. The objective of the visit was for HRH and her delegation to receive information about the work of the Institute. ▶ **3-9 June Study Visit to Sweden for Indonesian Corrections Senior Officials** This was the third study visit for a small high-level delegation from DGC (including Director General Mr. Sihabudin). The participants visited a range of correctional facilities and related institutions in Sweden. ▶ **25-29 June Blended Learning Course on the Equal Status and Human Rights of Women, Face-to-Face Component** This course targeted representatives of Academic Institutions and National Human Rights Institutions. ▶ **26-30 June Library and Information Resource Management Kuala Lumpur** This workshop on library and information resource management focused on strengthening the capacities of the Libraries and/or Resource Centres at NHRIs in Asia. ▶ **9-7 July Research Workshop on the Role of NHRIs in Business and Human Rights in South East Asia in Bangkok** This workshop targeted Southeast Asian National Human Rights Institutions (NHRIs) and academics interested in conducting research on the current role and potential of NHRIs with respect to business and human rights. ▶ **9-13 July Instituting an Effective Complaint Handling System for African National Human Rights Institutions** The workshop was attended by participants from seven National Human Rights Institutions and aimed to increase the knowledge, understanding and skills among the representatives on effective handling of complaints on human rights violations. ▶ **10-11 July Workshop on Librarianship and Human Rights** The purpose of the workshop, held in Arabic, was to enhance awareness amongst universities' librarians, from across the MENA region, on how to establish and/or maintain a human rights library. ▶ **9-12 August Human Rights Capacity Building Workshop in Kunming, China** This workshop was held in cooperation with Yunnan University Law School (YULS) and was designed to disseminate international human rights law knowledge and improve the human rights teaching capacity of universities and colleges across Yunnan. ▶ **29-31 August The 7th European Conference on Gender Equality in Higher Education in Bergen, Norway** The Institute funded the participation at the conference of a national consultant from the Belarus State University in Minsk alongside with a staff member of the Institute responsible for the Belarus programme. ▶ **3-7 September Developing a Human Rights Tool Box – a Follow up Session** 13 of the alumni from the yearly session "Developing a Human Rights Tool Box", targeting practicing lawyers, held presentations about their experience of using the knowledge gained in previous sessions. ▶ **14-16 September Workshop for Turkish Librarians on Library and Resource Centre Management** This training responded to the objectives of the current Turkey programme to increase the volume and quality of human rights education and research within selected State law faculties in Turkey. ▶ **22-23 September Human Rights Moot Court Competition** Within the Institute's Regional Programme in the MENA region, the Arab Academic Human Rights Network (AAHRN) held a Human Rights Moot Court Competition for law students from universities who are members of the AAHRN. ▶ **27-28 September The Institute at the Book Fair in Gothenburg** For the first time the Institute participated in the Göteborg Book Fair. The Institute had a stand at the International Square and held two seminars. ▶ **29 October-3 Nov Training workshop with teachers from the National University of Laos** This workshop focused on human rights, as well as on methods for teaching. ▶ **3 December, Raoul Wallenberg Lectures: "Reflections on the UN Human Rights Council"** The Swedish human rights ambassador, Mr Hans Dahlgren, spoke about the UN Human Rights Council, and its predecessor, the UN Commission for Human Rights.



# The library



## ACCESS TO INFORMATION AND LIBRARIES AROUND THE WORLD

For many years, Lena Olsson has built up the Institute's work with librarians and human rights libraries on three continents, and is a much appreciated expert at universities and national human rights institutions around the world.

Lena's main workplace is on the top floor of Stora Gråbrödersgatan in Lund, where she has been Senior Librarian for many years. She guides Master's students in their pursuit of knowledge about human rights, and has also been responsible for keeping the library updated with the latest research and publications concerning human rights. Today, the library is one of the more recognised libraries on human rights in Europe.

But the library is also involved in outreach activities of the Institute, where Lena has been a resource person. Her work to educate librarians and develop libraries has become part and parcel of the larger international programmes. She has worked in many countries in Africa, such

as Zimbabwe, Kenya and Mozambique, but has lately focused more on Asia.

- My ambition is to reduce the horrendous gap in access to information between those who have access to "everything" and those who struggle to get the information they need for studies, research and writing.

Lena has undertaken the challenge to develop the way people think about libraries in a time when digitalisation and the concept of open access and open sources software have been changing the landscape for librarians and researchers around the world.

- It is important to understand that many universities don't have the same access to the internet as we have in Europe. At the same time, the power of the internet is well known, and curiosity is blooming among both students and librarians alike. ►

Over the last few years, the scope of Lena's work has also been extended to include the library component for National Human Rights Institutions, NHRI's. The latest challenge is the newly installed NHRI in Myanmar.

- Development is occurring quickly in Myanmar, and it is fascinating to see how the country is trying to bring on-board an understanding of human rights. To have access to the latest research and knowledge is of course pivotal to them at this point in their history.

But Lena is not only developing libraries around the world. The home library at Stora Gråbrödersgatan has also moved rapidly into a digital era. Lena can't help herself from recommending a guide to human rights links, developed by her and her colleagues.

- Try [www.rwi.lu.se/library](http://www.rwi.lu.se/library) and go to LibGuide. It is marvellous. A real help to students and researchers alike.

#### FACTS ABOUT THE LIBRARY IN 2012

- ▶ **Purchased 815 books**
- ▶ **Subscribes to 148 periodicals**
- ▶ **Opening hours 52 hours/week**
- ▶ **37 500 visits/year**
- ▶ **13 509 monographic titles**
- ▶ **369 periodicals**
- ▶ **Access to more than 218 000 electronic resources**
- ▶ **Inter-library loans to other Swedish libraries**

#### BOOK AND LIBRARY FAIR IN GOTHENBURG

At the book and library fair in 2012 the Institute's researchers held two seminars.

Dr Christina Johnsson elaborated on the human right state and how Sweden can develop to take a firm stand in human rights within the public sector. Perhaps a new vision is needed for Sweden, where human beings are put first and the State is organised around people and people's rights.

Associate Professor Radu Mares talked on the UN Guiding Principles on Business and Human Rights. What do they mean for governments, companies, NGO's and human rights?

When the Human Rights Council of the United Nations unanimously endorsed professor John Ruggie's Guiding Principles in June 2011, it was the first time that the UN outlined its expectations in the area of business and human rights. A de facto standard of corporate responsibility had emerged. He defined his approach as a "principled form of pragmatism: an unflinching commitment to the principle of strengthening the promotion and protection of human rights as it relates to business." Dr Radu Mares explained what this means in the daily lives of people.



## Book and library fair

1. Dr Christina Johnsson during her seminar
2. Department Associate Mastibegim Milikbekova and Librarian Lena Olsson at the Insitute's stand
3. Associate Professor Radu Mares during his seminar
4. The International square





# **Administration report**

# ADMINISTRATION REPORT (With annual accounts)

## FUTURE DEVELOPMENTS

Over the last 20 years, the Raoul Wallenberg Institute has undergone a rapid and dynamic transformation, both in terms of activities and staffing, which primarily is due to the expansion of its international programme activities. The Institute's ambition is to continue to be a relevant actor in all fields of operation, both in Sweden and internationally. This ambition will, inter alia, be reflected in an increased focus on research and a higher degree of integration of the research and its results into international programme activities.

The Institute will also continue with methodology, policy and strategy development aimed at further assuring results and quality in all activities.

## MANAGEMENT

### Board of Trustees

The Raoul Wallenberg Institute is managed by a Board of Trustees consisting of nine members. The Board decided at its meeting on 17 September to adjust the Charter (§ 3, first sentence) as follows: The affairs of the Trust shall be managed by a Board consisting of a minimum of seven and maximum of eleven Trustees.

The Board held four meetings, including a two-day retreat, during the fiscal year. The discussions focused on strategic and organisational issues.

The Board has its seat in Lund and had the following composition at the end of 2012:

### *Members*

Ambassador Hans Corell, Chairman  
 Professor Christina Moëll, Deputy Chairman  
 Professor Emeritus Ove Bring  
 Ambassador Marika Fahlén  
 Former Deputy Prime Minister Lena Hjelm-Wallén  
 Programme Associate Zophie Landahl (staff representative)  
 Ambassador Börje Ljunggren  
 Attorney at law Leif Ljungholm  
 Economist Camilla Robinson Seippel  
 Former National Police Commissioner Nils Erik Åhmansson

### *Honorary Chairmen*

Former University Chancellor Carl-Gustaf Andrén  
 Professor Emeritus Göran Melander

### *Chairman as of 1 January 2013*

President of the Court of Appeal for Skåne and Blekinge  
 Lennart Svensäter

### **Director**

The operations of the Institute's affairs are managed by a Director and a Deputy Director. Both are appointed by the Board. During the fiscal year Marie Tuma served as Director and Rolf Ring as Deputy Director.

## AUDITOR

The annual accounts, the Board of Trustees and the Director's management are supervised by the authorised public accountant Thomas Swenson at Ernst & Young in Malmö. The auditor is appointed by the Vice-Chancellor of Lund University.

## SECRETARIAT

The Institute rents its own premises for the library, research and teaching and other activities. The Institute has also established field offices in Amman, Beijing, Istanbul, Jakarta and Nairobi. Apart from those directly involved at the Institute, teachers, researchers, students and administrative staff of the Faculty of Law at Lund University have access to the Institute's premises. The Institute also provides seminar- and study rooms as well as reading and computer areas for researchers, teachers, students and the public at large.

During the fiscal year Ernst & Young has reported on the Institute's tax-status.

## FINANCIAL POSITION

During this fiscal year, the Institute has not received any basic funding grants. For activities, the Institute has received SEK 100 million, mostly in grants from Sida. For various programmes and projects, the Institute has also received grants from the Ministry for Foreign Affairs, Torsten and Ragnar Söderbergs Foundations, Brill Academic Publishers, The Justa Gardi Foundation and the Swedish Research Council. Net surplus after appropriations amounted to 0,49 million, compared with 0,28 million in 2011. The result has been carried forward to the project fund under equity.

KEY FIGURES - STAFF	2012	2011
Average number of employees	43	43
Number of employees at year end	43	43
Average age	41	41
Salary costs incl. social security costs, kSEK	25 651	24 982
Salary costs per employee, kSEK	597	581
Net result per employee, kSEK	40	15

## INFORMATION

Information about the Institute and its activities is available on the website [www.rwi.lu.se](http://www.rwi.lu.se).

Since 2000, around 1,200,000 hits have been registered on the website. The website receives over 100,000 visitors every year.

During the fiscal year a number of information pamphlets in Swedish and English have been produced, both in house and in cooperation with external consultants.

The Institute is a member of a number of networks for research and education in human rights, such as "The Nordic Network on Human Rights Research", "The Nordic Human Rights Network", "The Association of Human Rights Institutes", the European Coordination Committee on Human Rights Documentation ECCHR and "The United Nations Crime Prevention and Criminal Justice Programme Network of Institutes".



DISTRIBUTION OF GRANTS, %	2012	2011	CAPITAL RATIOS, %	2012	2011
Sida	91.0	83.8	Equity ratio	37	31
Ministry for Foreign Affairs	4.2	5.9	Liquid ratio	158	144
The Swedish Research Council	0.9	0.9			
Torsten and Ragnar Söderbergs Foundations	0.5	1.2			
The Justa Gardi Foundation	0.7	1.5			
European Commission	0.0	1.6			
Brill Academic Publishers	0.4	0.4			
Other grants and income	2.3	4.7			
<b>Total</b>	<b>100</b>	<b>100</b>			

INCOME STATEMENT, kSEK	Notes	2012	2011
<b>Income</b>	1		
Grants		78 676	63 362
Other income		1 577	2 755
<b>Total income</b>		<b>80 254</b>	<b>66 117</b>
<b>Costs</b>			
General external costs	2, 3	-47 379	-36 673
Personnel costs	4	-31 452	-28 479
Depreciations	5	-378	-409
<b>Total costs</b>		<b>-79 209</b>	<b>-65 561</b>
<b>Operating result</b>		<b>1 044</b>	<b>555</b>
<b>Financial income and costs</b>			
Financial income		692	75
Financial costs		0	0
<b>Result after financial income and costs</b>		<b>1 736</b>	<b>630</b>
Appropriations	6	-1 242	-350
<b>Year end result</b>		<b>494</b>	<b>281</b>

BALANCE SHEET, kSEK	Notes	2012-12-31	2011-12-31
<b>ASSETS</b>			
<b>Fixed assets</b>			
Equipment and furniture	7	149	388
Improvement on rented office building	8	96	193
<b>Total fixed assets</b>		<b>246</b>	<b>581</b>
<b>Current assets</b>			
<b>Current receivables</b>			
Accounts receivable		401	511
Tax receivable		0	0
Other receivables		873	1 276
Prepaid expenses and accrued income	9	1 062	1 237
<b>Total current receivables</b>		<b>2 335</b>	<b>3 024</b>
<b>Short term investments</b>	10	15 902	15 427
<b>Cash and bank balances</b>		50 308	56 205
<b>Total current assets</b>		<b>68 545</b>	<b>74 656</b>
<b>TOTAL ASSETS</b>		<b>68 791</b>	<b>75 237</b>
<b>EQUITY AND LIABILITIES</b>			
<b>Equity</b>			
Retained earnings	11	19 622	19 341
Provisions	12	5 079	3 837
Year end result		494	281
<b>Total equity</b>		<b>25 195</b>	<b>23 459</b>
<b>Current liabilities</b>			
Accounts payable		2 862	1 363
Tax liabilities		40	30
Other current liabilities	13	2 609	3 500
Accrued expenses and deferred income	14	4 514	4 474
Deferred income projects in progress	15	33 572	42 411
<b>Total current liabilities</b>		<b>43 596</b>	<b>51 778</b>
<b>TOTAL EQUITY AND LIABILITIES</b>		<b>68 791</b>	<b>75 237</b>
Pledged assets		None	None
Contingent liabilities		None	None

CASH FLOW STATEMENT, kSEK	2012	2011
<b>Operating activities</b>		
Operating result	1 044	556
Depreciation	378	409
Financial income	692	75
Financial costs	0	0
<b>Cash flow from operating activities before changes in working capital</b>	<b>2 113</b>	<b>1040</b>
Decrease in receivables	689	1 149
Increase/decrease in accounts payable	1 499	-298
Decrease in other liabilities	-9 681	-8 812
<b>Cash flow from operating activities</b>	<b>-5 380</b>	<b>-6 921</b>
<b>Investments</b>		
Acquisition of tangible assets	-42	-180
<b>Cash flow from investment activities</b>	<b>-42</b>	<b>-180</b>
<b>Change in liquid assets</b>	<b>-5 422</b>	<b>-7 100</b>
<b>Cash and cash equivalents at the beginning of year</b>	<b>71 632</b>	<b>78 732</b>
<b>Cash and cash equivalents at the end of year</b>	<b>66 210</b>	<b>71 632</b>

## NOTES AND ACCOUNTING PRINCIPLES

### GENERAL ACCOUNTING PRINCIPLES

The organisation's accounting principles comply with the Swedish Annual Accounts Act (ÅRL) and the Swedish Accounting Standards Board's (BFN) guidelines and recommendations for non-profit associations. Assets, provisions and liabilities has been valued at acquisition value unless otherwise stated

### REVENUE RECOGNITION

All grants from Sida and other governmental authorities are reported in accordance with BFN R5 on government support, the revenues are recognised only when it is very likely that the support will not be redeemed, i.e. costs have incurred for the purpose. The grant is thus entered as a liability until expenses to be covered by the grant arise.

### DEPRECIATION OF FIXED ASSETS

Depreciation according to plan is based on original costs and life expectancy. The following rates of depreciation apply:

#### TANGIBLE FIXED ASSETS

Furniture, office equipment	25%
Improvement on rented office building	20%

### RECEIVABLES

Receivables are, after individual valuation, recorded at the amount they are expected to be received.

### RECEIVABLES AND LIABILITIES IN FOREIGN CURRENCIES

Receivables and liabilities in foreign currencies have been converted at the closing date

NOTE 1 INCOME	2012	2011
Swedish International Development Cooperation Agency	72 919	55 402
Ministry for Foreign Affairs	3 394	3 901
The Swedish Research Council	737	593
Torsten and Ragnar Söderbergs Foundations	414	756
The Justa Gardi Foundation	598	1 007
European Commission	0	1 055
Brill Academic Publishers	348	275
Other grants	267	373
Other income	1 577	2 755
<b>Total income</b>	<b>80 254</b>	<b>66 117</b>

NOTE 2 AUDIT FEES	2012	2011
<b>Auditing</b>		
Ernst & Young	351	125
<b>Projects/programme auditing</b>		
Ernst & Young	49	109
<b>Total fees</b>	<b>400</b>	<b>234</b>

NOTE 3 LEASING COSTS	2012	2011
<b>Leasing costs amount to</b>	<b>945</b>	<b>975</b>



<b>NOTE 4 EMPLOYEES AND STAFF COSTS</b>					<b>NOTE 5 DEPRECIATION OF TANGIBLE FIXED ASSETS</b>		
	2012		2011			2012	2011
<b>Average number of employees</b>	<b>No.</b>	<b>women %</b>	<b>No.</b>	<b>women %</b>			
Sweden	38	63	39	64	Furniture and equipment	281	313
Other countries	5	64	4	75	Improvement on rented office building	96	96
<b>Total</b>	<b>43</b>	<b>63</b>	<b>43</b>	<b>65</b>	<b>Total</b>	<b>378</b>	<b>409</b>
<b>Salaries, employee benefits, social security costs</b>					<b>NOTE 6 APPROPRIATIONS</b>		
Senior executives						2012	2011
- Chairman of the Board		44		43	Reversal of provision for NHRI Pilot Project Fund	0	-50
- Director and Deputy Director		1 604		1 563	Provision for Raoul Wallenberg Seminar 2012	0	400
Other employees		16 644		16 106	Provision to the Project Fund	692	0
<b>Total</b>		<b>18 292</b>		<b>17 712</b>	Provision for Risk and Security Analyses	300	0
Social security contributions		7 359		7 270	Provision Strategic Intelligence	250	0
(whereof pension costs)		2 020		1 976	<b>Total</b>	<b>1242</b>	<b>350</b>
Of the total pension costs, 348 kSEK (347 kSEK) relates to the Director and the Deputy Director.							
<b>Salaries and employee benefits by country</b>							
Sweden		15 666		15 441			
Other countries		2 626		2 271			
<b>Total</b>		<b>18 292</b>		<b>17 712</b>			
<b>Gender balance of the Raoul Wallenberg Institute's management</b>							
		<b>Women</b>		<b>Men</b>			
Board of Trustees		5		3			
Management Team		4		3			

NOTE 7 EQUIPMENT			NOTE 10 SHORT TERM INVESTMENTS		
	12-12-31	11-12-31		12-12-31	
Opening acquisition value	2 950	3 014		<b>Book value</b>	<b>Market value</b>
Additions	42	180	SEB Penningmarknadsfond	2 388	2 434
Disposals	-245	-244	SEB Räntheplus	1 515	1 390
Closing accumulated acquisition values	2 747	2 950	SEB Räntetrappa	1 010	1 086
Opening depreciations	2 562	2 493	SEB Kreditbevis Aio Nr 245	505	545
Depreciations for the year	281	313	SEB Kreditbevis Nr 1268	1 010	1 032
Disposals	-245	-244	SEB Stibor Hävstång	1 010	1 006
Closing accumulated depreciations	2 597	2 562	Ing Renta Fund	500	505
<b>Closing residual value according to plan</b>	<b>149</b>	<b>388</b>	SEB Total Försiktig	500	502
			Sky Harbor US Short Duration	1 677	1 695
			Pimco Unconstrained Bond Fund	1 411	1 429
			SEB Multi Asset Defensiv	425	436
			SEB Ränthehedge Alpha	4 000	4 282
			<b>Total</b>	<b>15 902</b>	<b>16 342</b>
			<b>NOTE 11 CHANGE IN EQUITY</b>	<b>2012</b>	<b>2011</b>
			Opening balance	23 459	22 828
			Change in provisions	1 242	350
			Net income of the year	494	281
			<b>Closing balance</b>	<b>25 194</b>	<b>23 459</b>
			<b>NOTE 12 PROVISIONS</b>	<b>12-12-31</b>	<b>11-12-31</b>
			Publications	151	151
			IT-system	250	250
			Seminar 25th Anniversary	145	145
			Seminar Humanitarian Law	200	200
			Seminar 20th Anniversary		
			international programmes	51	51
			Communication/fundraising	413	413
			NHRI Pilot Project (Project fund)	222	222
			Raoul Wallenberg seminar 2012	400	400
			Risk and security analysis fund	300	0
			Strategic Intelligence fund	250	0
			Project fund	2 697	2 005
			<b>Total</b>	<b>5 079</b>	<b>3 837</b>

NOTE 8 IMPROVEMENTS ON RENTED OFFICE BUILDING		
	12-12-31	11-12-31

Opening acquisition value	1 715	1 715
Additions	0	0
Disposals	-828	0
Closing accumulated acquisition values	888	1 715
Opening depreciations	1 523	1 426
Depreciations for the year	96	96
Disposals	-828	0
Closing accumulated depreciations	791	1 523
<b>Closing residual value according to plan</b>	<b>96</b>	<b>193</b>

NOTE 9 PREPAYD EXPENSES AND ACCRUED INCOME		
	12-12-31	11-12-31

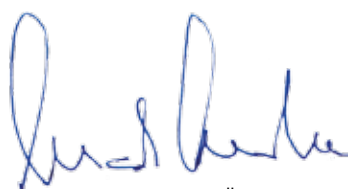
Prepaid rent	827	908
Other items	235	329
<b>Total</b>	<b>1 062</b>	<b>1 237</b>

NOTE 13 OTHER CURRENT LIABILITIES	12-12-31	11-12-31
Accrued interest Sida	529	645
Other items	2 080	2 855
<b>Total</b>	<b>2 609</b>	<b>3 500</b>

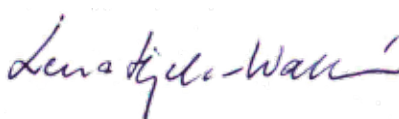
NOTE 14 ACCRUED EXPENSES AND DEFERRED INCOME	12-12-31	11-12-31
Accrued salaries and holiday pay liabilities	2 740	2 743
Accrued social security contributions	867	866
Employer's contribution	439	407
Other accrued expenses	467	458
<b>Total</b>	<b>4 514</b>	<b>4 474</b>

NOTE 15 DEFERRED INCOME CURRENT PROJECTS	12-12-31	11-12-31
Swedish International Development Cooperation Agency	30 039	37 245
Swedish Ministry for Foreign Affairs	458	1 913
The Swedish Research Council	419	674
Torsten and Ragnar Söderbergs Foundations	721	628
The Justa Gardi Foundation	757	1 355
European Commission	393	183
Other contributions	784	413
<b>Total</b>	<b>33 572</b>	<b>42 411</b>

Lund, May 27, 2013



LENNART SVENSÄTER  
Chairman of the board



LENA HJELM-WALLÉN



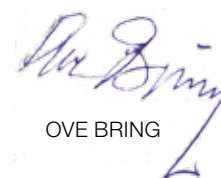
BÖRJE LJUNGGREN



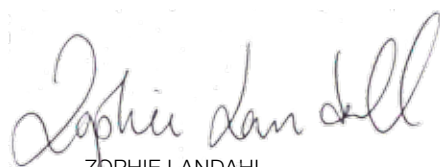
MARIKA FAHLÉN



CAMILLA ROBINSON SEIPPEL



OVE BRING

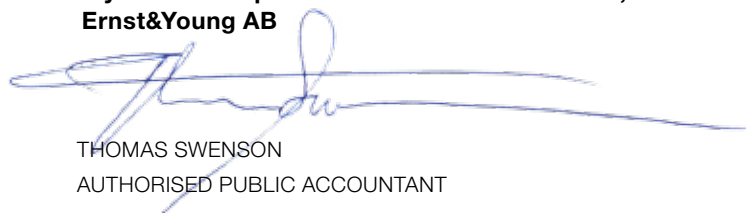


ZOPHIE LANDAHL



CHRISTINA MOËLL

My auditors report was submitted on June 17, 2013  
Ernst&Young AB



THOMAS SWENSON  
AUTHORISED PUBLIC ACCOUNTANT



## TRANSLATION FROM THE SWEDISH ORIGINAL

### Auditor's report

To the board of directors of the Charitable Trust Raoul Wallenberg Institute, corporate identity number 845001-3548

#### Report on the annual accounts

We have audited the annual accounts of the Charitable Trust Raoul Wallenberg Institute for the year 2012.

##### *Responsibilities of the Board of Directors for the annual accounts*

The Board of Directors are responsible for the preparation and fair presentation of these annual accounts in accordance with the Annual Accounts Act, and for such internal control as the Board of Directors determine is necessary to enable the preparation of annual accounts that are free from material misstatement, whether due to fraud or error.

##### *Auditor's responsibility*

Our responsibility is to express an opinion on these annual accounts based on our audit. We conducted our audit in accordance with International Standards on Auditing and generally accepted auditing standards in Sweden. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the annual accounts are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the annual accounts. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the annual accounts, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the trust's preparation and fair presentation of the annual accounts in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the trust's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the Board of Directors, as well as evaluating the overall presentation of the annual accounts.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

##### *Opinions*

In our opinion, the annual accounts have been prepared in accordance with the Annual Accounts Act and present fairly, in all material respects, the financial position of the trust as of 31 December 2012 and of its financial performance and its cash flows for the year then ended in accordance with the Annual Accounts Act.

#### Report on other legal and regulatory requirements

In addition to our audit of the annual accounts, we have examined the administration of the Board of the Charitable Trust Raoul Wallenberg Institute for the year 2012.

##### *Responsibilities of the Board of Directors*

The Board of Directors is responsible for administration under the Trusties Act and the Appointment of the Trust.

##### *Auditor's responsibility*

Our responsibility is to express an opinion with reasonable assurance whether any member of the Board of Directors has, in any other way, acted in contravention of the Trusties Act or the Appointment of the Trust. We conducted the audit in accordance with generally accepted auditing standards in Sweden.

As a basis for our opinion concerning discharge from liability, in addition to our audit of the annual accounts, we examined significant decisions, actions taken and circumstances of the trust in order to determine whether any member of the Board of Directors is liable to the trust. We also examined whether any member of the Board of Directors has, in any other way, acted in contravention of the Trusties Act or the Appointment of the Trust.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

##### *Opinions*

The members of the board of directors have not acted in contravention of the Trusties Act or the Appointment of the Trust.

Malmö den 17 juni 2013

Ernst & Young AB

Thomas Swenson

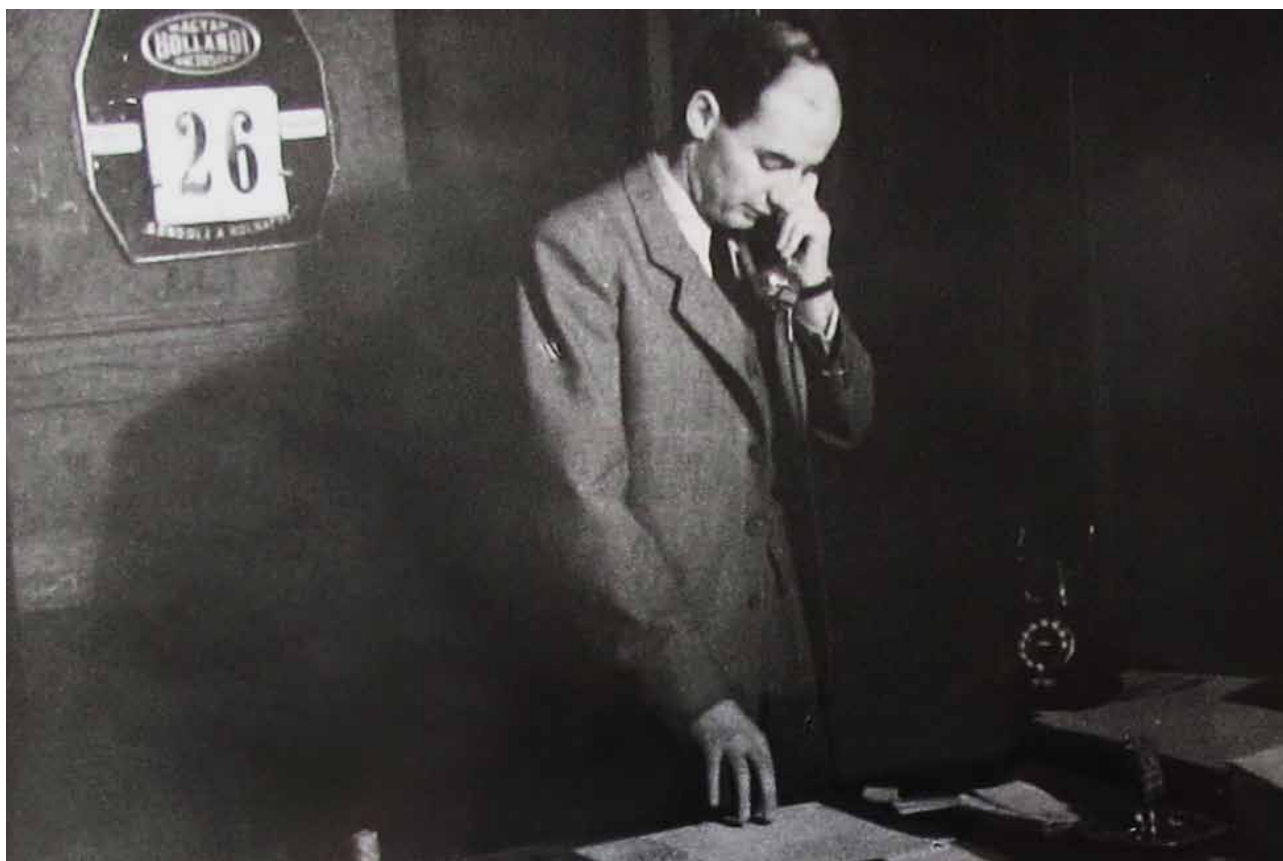
Authorized Public Accountant



**THE BOARD OF TRUSTEES AS OF 1 JANUARY, 2013**

- 1. LENNART SVENSÄTER** | Chairman
- 2. BÖRJE LJUNGGREN**
- 3. OVE BRING**
- 4. CHRISTINA MOËLL** | Vice Chairman
- 5. MARIKA FAHLÉN**
- 6. CAMILLA ROBINSON SEIPPEL**
- 7. LENA HJELM-WALLÉN**
- 8. ZOPHIE LANDAHL** | Staff Representative





### **ABOUT RAOUL WALLENBERG**

Raoul Wallenberg – a name which is immediately associated with exceptional courage, with humanity and determination. As a Swedish diplomat in Budapest in the years 1944/45 he saved tens of thousands of Hungarian Jews from a certain death at the end of the Second World War – an effort which indisputably appears to be one of the great achievements during the last century. What happened to him personally after he had been arrested by Russian troops and brought to Moscow is still a story untold. The Institute is named after Raoul Wallenberg in order to honour his work in the cause of humanity.

[www.rwi.lu.se](http://www.rwi.lu.se)

**LUND (Head office)**

Stora Gråbrödersgatan 17 B  
P.O. Box 1155  
SE-221 05 Lund, Sweden  
Phone +46 46 222 12 00  
Fax +46 46 222 12 22

**BEIJING**

14th Floor  
Tower A Pacific Century Place  
2A Gongti Beilu Chaoyang District  
Beijing 100027 P.R. China  
Phone +86 10 6587 6401  
Fax +86 10 6539 1060

**NAIROBI**

Nairobi Office  
7th Floor Eden Square, Block 1,  
Chiromo Road, Westlands  
P.O. Box 1590, 00606 Nairobi  
Phone +254 20 3673017  
Fax +254 20 3673231

**JAKARTA**

Hotel Grandkemang, Room 293  
Jl. Kemang Raya no. 2H  
12730 Jakarta Selatan, Indonesia  
Phone +62 21 719 41 68, +62 21 719 04 09  
Fax +62 21 717 91 389

**ISTANBUL**

Cihangir Mah. Siraselviler Cad.  
Selvi Han No: 27 D:10  
34200 Beyoğlu, Istanbul, Turkey  
Phone +90 212 243 8656  
Fax +90 212 243 8616

**AMMAN**

P.O. Box 852456  
111 85 Amman, Jordan  
Phone +962 779 38 60 28

## **MISSION:**

To promote universal respect for human rights and humanitarian law, by means of research, academic education, dissemination and institutional development.

## **VISION:**

To be a centre of excellence in all fields of operation, contributing to the development of societies based on a human rights culture.

## **CORE VALUES:**

**RESPECT** We believe that respect for the inherent dignity of the human being is fundamental and this permeates all our behaviour.

**INTEGRITY** We are committed to our mission and values and we are not going to compromise in respect of independence, trust and quality. Our work is transparent and performed with accountability.

**INCLUSIVENESS** We are a learning institution and encourage everybody to participate by expressing their views and sharing their knowledge. We value all input and viewpoints and are open to constructive dialogue and co-operation with all in order to fulfil our mission.

**INSPIRATION** We continuously strive at maintaining a creative, dynamic and supportive work- and learning environment, so as to inspire others as well as each other to work in line with our mission – to promote universal respect for human rights and humanitarian law.